

Green Ash SICAV

Société d'Investissement à Capital Variable (SICAV) under Luxembourg Law

GREEN ASH SICAV - CENTRE AMERICAN SELECT EQUITY FUND

A Subfund of Green Ash SICAV

ACCOUNT OPENING AND APPLICATION FORM

Investors (the "**Applicant(s)**") willing to subscribe for shares of **Green Ash SICAV - CENTRE AMERICAN SELECT EQUITY FUND** (the "**Subfund**"), Subfund of **Green Ash SICAV** (the "**Fund**"), a *société d'investissement à capital variable* incorporated as a public limited liability company (*société anonyme*) subject to the provisions of Part I of the Luxembourg law dated 17 December 2010 relating to undertakings for collective investment funds, as may be amended from time to time (the "**2010 Law**"), for which Credit Suisse Fund Services (Luxembourg) S.A. serves as central administrator (the "**Administrator**"), must complete this account opening and application form ("**Application Form**"). For any question, please contact the Administrator Shareholder Services Team (contact details below).

The completed Application Form and accompanying documentation that are outlined in Sections "FATCA" and "CRS Self-Certification" and in Appendix 1 to this Application Form must be sent via post directly to the Administrator at the following address:

Credit Suisse Fund Services (Luxembourg) S.A.

Investor Services Desk

E-Mail: list.amluxclientservice@credit-suisse.com

Telephone: +352 43 61 61 608 / 582 / 621

Office address: 5, Rue Jean Monnet, L-2180 Luxembourg

Mailing Address: P.O. Box 369, L-2013 Luxembourg

The Application Form and accompanying documentation may also be accepted by e-mail, provided that the originals are forwarded by post.

Please note the Application Form is for purposes of opening a registered account with the Fund (the "**Account**") in order to provide the basis for the subsequent transactions for which the relevant transaction form or another agreed format shall be used. This Application Form (as completed by the Applicant) can be used for the purpose of opening other registered accounts with the Fund. The provisions of this Application Form (including the appendices) will apply to all registered accounts opened by the Applicant with the Fund. The initial subscription for shares in the Fund may be submitted by completing the Section "Subscription Details", but that section is optional.

In case you use the section "Subscription Details" to place your initial subscription, please send the completed and signed Application Form in addition to the following address:

Dealing Desk

Email (preferred): list.amluxdealingdesk@credit-suisse.com

Fax: +352 42 38 86

Telephone: +352 43 82 82

Unless the context otherwise requires, capitalised terms used without definition shall have the meaning given to them in the latest placement memorandum or sales prospectus of the Fund (whatever term is used for the Fund's offering document, the "**Prospectus**"). Any references to the Fund herein shall be references to the board of directors of the Fund or, where appropriate, to MultiConcept Fund Management S.A. being the Funds' management company (the "**Management Company**") or to the Administrator acting on behalf of the Fund.

The Application Form should be read in conjunction with the Prospectus of the Fund supplemented by the relevant country specific annexes (if any), the Fund's articles of incorporation, and, if your account is served in an EU/EEA country where the key information document ("KID") is a mandatory document, with the up-to-date version of the relevant KID. These documents can be found on [Our Funds \(credit-suisse.com\)](http://OurFunds.credit-suisse.com).

Please note the Fund and its service providers will hold and process your personal information in accordance with the applicable data protection regulations. Further information is available in the Data Protection Notice attached to this Application Form (the "Data Protection Notice"). The up-to-date version of the Data Protection Notice is also available and accessible at [MultiConcept Fund Management S.A. \(credit-suisse.com\)](http://MultiConceptFundManagementS.A.credit-suisse.com). The investor can also directly reach out to the Fund and/or the Management Company to obtain a copy of the Data Protection Notice.

By signing this Application Form you confirm that you have read and acknowledge the Data Protection Notice.

As regards how the Administrator may handle your data in its capacity as data controller, it is set out in its privacy notice which is available at <https://www.credit-suisse.com/lu/en/legal/legal-fund-services.html>.

The validity, construction and performance of the Application Form and any contractual or non-contractual obligations arising out of or in connection with it are governed by and construed in accordance with the laws of the Grand Duchy of Luxembourg. Any dispute including a dispute relating to non-contractual obligations arising out of the Application Form will be submitted exclusively to the courts of the District of Luxembourg-City.

Registration Details – Natural Person

Please complete this section in BLOCK CAPITALS (individuals acting as authorised representatives of the Investor or as Proxy may please refer to the "Representative/Proxy Profile Form" of the Appendix 1 "Prevention of Money Laundering and Terrorist Financing" of this Application Form):

Surname(s) and first name(s) _____
(the "Applicant" or "We")

Place and date of birth _____

Nationality(ies): _____

Full postal address of the persons' main residence:

Street / Number _____

Post Code / City _____

Country _____

Official national identification number _____

Telephone number _____

Fax number _____

Email address _____

Registration Details – Legal Person

For investors that are not individuals, thus which are legal persons or legal arrangements, please complete this section in BLOCK CAPITALS.

Name (legal
Denomination) _____
(the "Applicant" or "We")

Account designation _____
(if different from Applicant name)

Type of Investor¹ and
legal form _____
(company, partnership, trust,
other entity)

Registration number _____

Registered address

Street / Number _____

Post Code / City _____

Country _____

Mailing address _____
(if different from Reg. Address)

¹Please see also Section "Institutional Investor Qualification".

Contact person/
Department

Telephone number

Fax number

Email address

All Applicants (natural and legal persons) as well as any representatives or other person(s) acting on the Applicant's behalf, or are so authorized, are referred to the Administrator as well as to the Appendix 1 "Prevention of Money Laundering and Terrorist Financing" of this Application Form (the "**Appendix 1**") for the identification documents and further representations and warranties to be provided according to the shareholder identification procedure. Please note that the lists of information and documents also provided for in the Appendix 1 may not be in all cases exhaustive and can change from time to time, including, *inter alia*, in case of any legal and regulatory changes related to AML/CFT or in case of changes of the business practices of the Fund (e.g. license extensions, change of risk-appetite, new products or types of distribution channels launched or new targeted countries or types of investors). The Applicant is informed that additional due diligence may be required depending on whether the country of location of the Applicant is deemed anti-money laundering and counter-financing terrorism ("**AML/CFT**") equivalent as AML/CFT Rules or not by the Fund, the Administrator and/or the Management Company or depending on whether the Applicant may be categorised as of higher risk. Furthermore, the Fund, the Administrator as well as the Management Company in any case reserve the right to request additional information/documents required, in particular for the purposes of complying with any requests of the AML/CFT competent authorities as well as any further AML/CFT obligations pursuant to the applicable AML/CFT laws, rules, orders, statutes, regulations, directives or guidance issued by the national competent authorities or supranational authorities in force from time to time, including but not limited to the law of 12 November 2004 on the fight against money laundering and terrorist financing, as amended (the "**2004 Law**"), the Grand-Ducal Regulation of 1 February 2010 providing details on certain provisions of the 2004 Law, as amended, the CSSF Regulation N°12-02 of 14 December 2012 on the fight against money laundering and terrorist financing, as amended, (the "**CSSF Regulation 12-02**") as well as the set of rules formed by European Directives on the preventions of the use of the financial system for the purpose of money laundering and terrorist financing, as amended from time to time and the Financial Action Task Force (FATF) recommendations, as amended from time to time (collectively referred to as the "**AML/CFT Rules**") and to retain any redemption proceeds if, exceptionally, the identification process could not be completed before.

In case any of the information of the Applicant set out in this Application Form may change, the Applicant is obliged to inform the Fund and/or the Management Company or the Administrator thereof without any delay in order to ensure that the information maintained of the Applicant may always be up-to-date accordingly. In addition, the Fund and the Management Company and/or the Administrator may request Applicants from time to time to provide additional or updated identification documents pursuant to on-going client due diligence requirements under the AML/CTF Rules.

In case of a failure to provide required information, confirmation or documentation, the Fund and/or the Management Company or the Administrator are entitled to refuse the application and will not be liable for any interest, costs or compensation in that regard. Similarly, when shares in the Fund have been subscribed, they may not be redeemed or converted, or further shares be subscribed until full up-to-date details of registration and AML/CFT documents of the Applicant have been completed and which may, among others, result in (i) the withholding of redemption proceeds by the Fund or (ii) the withholding of outstanding dividend payments.

The Fund, the Management Company and/or the Administrator moreover reserve all rights and remedies available under applicable law to ensure their compliance with the AML/CTF Rules (e.g., any costs which are related to non-cooperation of such Applicant will be borne by the respective Applicant).

In case of a regulatory request, the Applicant herewith confirms that data on potential underlying investors will be shared with the Fund and/or the Management Company or the Administrator thereof without any delay.

The Applicant agrees that he will be liable to the Administrator, the Fund and the Management Company, as applicable, jointly and severally with his principal in respect of all obligations and liabilities arising from such subscription for shares in the Fund. The Applicant acknowledges and agrees that such liability extends to the initial subscription and any subsequent transactions (e.g., additional subscriptions, redemptions, transfers) he may submit to the Fund for the Account as designated in the above-described registration details as well as for other Accounts opened by the Applicant with this Fund. The Applicant agrees to provide the Management Company and/or the Administrator and the Fund, as applicable, with written insurance that he has obtained and recorded the identity of any person on whose behalf he is acting as well as the case may be, of their beneficial owners² (in each case a "**Beneficial Owner**"), and to provide the Management Company and/or the Administrator and the

² According to the 2004 Law "beneficial owner" refers to any natural person(s) who ultimately owns or controls the customer or any natural person(s) on whose behalf a transaction or activity is being conducted. The concept of beneficial owner shall include at least:

(a) in the case of corporate entities:

(i) any natural person who ultimately owns or controls a legal entity through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with European

Fund, as applicable, with information, documentation and written confirmations in relation to such person as the Management Company and/or the Administrator and the Fund, as applicable, reasonably requires to allow it to comply with applicable rules and regulations and, in particular, with applicable AML/CFT Rules. For the avoidance of doubt, the above-mentioned rights of the Fund, the Management Company and/or the Administrator in the AML/CFT context apply notwithstanding any applicable legal or contractual non-disclosure or professional secrecy duties applicable to the Applicant. Should the Applicant under relevant laws or regulations applicable to it require any prior consents, or approvals, by any local competent authority it will promptly seek to obtain such consent or approval.

Electronic Reporting

Please complete and notify the relevant fax numbers and email addresses for the non-encrypted electronic receipt of statements and other reports that may be issued from time to time by the Administrator. If agreed that other additional email addresses than the below mentioned shall be used, please notify us these additional email address(es). If you choose not to opt for the electronic receipt of statements and other reports, all these statements and other reports will be sent by Swift or by any other electronic means of communication that has been previously agreed with the Administrator. If the Applicant is a natural person, it may be that e-mails are not being sent at all. Statements are not being sent by post, except to natural persons.

By ticking this box, we hereby agree to the non-encrypted electronic receipt by fax, email, or other electronic means of periodical account statements of our position in the register of the Fund and other contract notes, confirmations and transaction reports that may be issued from time to time by the Administrator. We understand and fully acknowledge that non-encrypted facsimile, email, or other electronic means are not secure communication means and recognise and fully accept the associated risks pertaining to the provision of the statements and reports by fax, email or such other electronic means including, without limitation, the risks of non-receipt or delay in the receipt and the loss of confidentiality. We also recognise and fully accept that there are other risks inherent in communication by emails and other electronic methods through the Internet, such as the possibility of virus contamination and disruption in service.

Statements of holdings (only email)*:

Contract notes (subscriptions/redemptions):

Email*: _____ or Fax : _____

Contract notes (transfers):

Email*: _____ or Fax : _____

Confirmations (dividends):

Email*: _____ or Fax : _____

Confirmations (corporate actions):

Email*: _____ or Fax : _____

Other:

Email*: _____ or Fax : _____

Union law or subject to equivalent international standards which ensure adequate transparency of ownership information. A shareholding of 25% plus one share or an ownership interest of more than 25% in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25% plus one share or an ownership interest of more than 25% in the customer held by a corporate entity, which is under the control of a natural person(s), or by multiple corporate entities, which are under the control of the same natural person(s), shall be an indication of indirect ownership;

(ii) if, after having exhausted all possible means and provided there are no grounds for suspicion, no person under point (i) is identified, or if there is any doubt that the person(s) identified are the beneficial owner(s), any natural person who holds the position of senior managing official;

Control through other means may be determined in accordance with articles 1711-1 to 1711-3 of the Luxembourg Law of 10 August 1915 on commercial companies, as amended, as well as in accordance with the following criteria:

- (i) the direct or indirect right to exercise a dominant influence over a customer, on the basis of a contract entered into with that customer or of a clause of the articles of association of that customer, where the law governing that customer allows being subject to such contracts or such statutory clauses;
- (ii) the fact that a majority of the members of the administrative, management or supervisory bodies of the customer, in office during the financial year as well as the preceding financial year and until the preparation of the consolidated financial statements, were appointed through direct or indirect exercise of the voting rights of one natural person;
- (iii) the direct or indirect power to exercise or the actual direct or indirect exercise of a dominant influence or control over the customer, including the fact that the customer is placed under a single management with another undertaking;
- (iv) an obligation, under the national law to which the parent undertaking of the customer is subject, to prepare consolidated financial statements and a consolidated management report;

(b) in the case of fiducies and trusts: all following persons: (i) the "settlor(s)"; (ii) "the fiduciaire(s) or trustee(s)"; (iii) the "protector(s)", if any; (iv) the beneficiaries, or where the individuals benefiting from the legal arrangement or entity have yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates; (v) any other natural person exercising ultimate control over the fiducie or trust by means of direct or indirect ownership or by other means.

*Please note that reports to private persons will, in principle, not be sent by email

Subscription Details and Acknowledgements*

*This section is optional, to the extent that the Application Form is used to place the initial subscription. Please use the English notation using the comma as thousand-separator when expressing figures (e.g. 1,000,000.00 for one million). You will find the full list of class(es) of shares currently available in the Prospectus of the Fund.

We hereby irrevocably subscribe such amount as set out below in the following class(es) of shares in the Fund:

Green Ash SICAV	ISIN	Currency	Investment Amount	or Number of shares
Green Ash SICAV – Centre American Select Equity Fund R H cap. EUR	LU2729161872	EUR		
Green Ash SICAV – Centre American Select Equity Fund R H cap. CHF	LU2729163225	CHF		
Green Ash SICAV – Centre American Select Equity Fund R cap. USD	LU2729163142	USD		
Green Ash SICAV – Centre American Select Equity Fund R H cap. GBP	LU2729163068	GBP		
Green Ash SICAV – Centre American Select Equity Fund I H cap. EUR	LU2729162920	EUR		
Green Ash SICAV – Centre American Select Equity Fund I H cap. CHF	LU2729162847	CHF		
Green Ash SICAV – Centre American Select Equity Fund I cap. USD	LU2729162763	USD		
Green Ash SICAV – Centre American Select Equity Fund I H cap. GBP	LU2729163498	GBP		
Green Ash SICAV – Centre American Select Equity Fund F H cap. EUR	LU2729162680	EUR		
Green Ash SICAV – Centre American Select Equity Fund F H cap. CHF	LU2729162417	CHF		
Green Ash SICAV – Centre American Select Equity Fund F cap. USD	LU2729162334	USD		
Green Ash SICAV – Centre American Select Equity Fund F H cap. GBP	LU2729162250	GBP		
Green Ash SICAV – Centre American Select Equity Fund M cap. USD	LU2729162177	USD		
Green Ash SICAV – Centre American Select Equity Fund N H cap. EUR	LU2729162094	EUR		
Green Ash SICAV – Centre American Select Equity Fund N H cap. CHF	LU2729161955	CHF		
Green Ash SICAV – Centre American Select Equity Fund N cap. USD	LU2729162508	USD		
Green Ash SICAV – Centre American Select Equity Fund N H cap. GBP	LU2729163571	GBP		

We acknowledge and agree that:

- (a) This subscription is irrevocable and will be legally binding when it is signed by us and the subscription is accepted by the Fund and/or its Management Company (as applicable) and/or the Administrator;
- (b) This subscription may be accepted or rejected by the Fund and/or its Management Company (as applicable) and/or the Administrator in its sole and absolute discretion and that if this Application Form is rejected, then it shall have no force or effect;
- (c) We are aware of and will comply with the particular characteristics of the classes of shares in the Fund, such as but not limited to the minimum subscription amount and the minimum subsequent subscription amount for the different classes that are set out in the Prospectus and the relevant KID(s);
- (d) We are aware of and will comply with any specific restrictions or features related to the type of shareholders that are allowed to invest in any of the classes of shares in the Fund as listed above, as set out in the Prospectus;
- (e) Where we are acting on behalf of (an) other person(s), we undertake (i) to subscribe, whether for initial subscription or subsequent transactions, for classes of shares in the Fund only for this/those person(s) who meet(s) any such restrictions as set out in the Prospectus for such classes of shares and (ii) on an annual basis, or on a more frequent basis if the Fund, the Management Company and/or the Administrator so requests, to confirm in writing to the Fund, the Management Company and/or the Administrator, as applicable, that such person(s) continue(s) to meet such restrictions. For the avoidance of doubt, in case the class is an institutional class with reduced tax d'abonnement (subscription tax), we undertake, for initial subscription and any subsequent transactions, to represent and warrant that each investor will be an institutional investor and we agree to provide further confirmations/documentations with regard to the institutional investor status to the Fund, the Management Company and/or the Administrator, as they require. The Applicant(s) confirm(s) that he/her/it/they will notify, with no undue delay, the Administrator should he/her/it/they become aware about any underlying investor on behalf of whom he/her/it/they subscribe(s) ceases to be eligible to hold any given class of share.

Payment Instructions and Issue of shares

Payment in respect of each class of shares must be made in the relevant currency of the relevant class by electronic bank transfer to the relevant account as referred to in the Section "TA Subscription Collection Account Settlement Instruction" as set out at the bottom of the Application Form.

If timely settlement, as detailed in the Prospectus and the relevant KID(s), is not made, an application may lapse and be cancelled at the Applicant's cost. Failure to make good settlement by the settlement date may result in the Fund and/or its Management Company (as applicable) and/or the Administrator exercising any of the remedies as set out in the constitutive documents of the Fund and the Prospectus.

Bank Account Details

Please complete this Section in BLOCK CAPITALS with **your bank account details from which subscription monies will be paid and to which redemption proceeds and other sale proceeds and dividends (if any) will be sent**. Payments will be made by wire transfer in your chosen currency as indicated below. If additional accounts for other currencies are used, please attach a separate list showing the same details as mentioned below. Wire transfers may be subject to usual banking fees. **Important Note:** No third-party payments are accepted or made. If this section is not completed payments to the Applicant may be delayed. In addition, the bank account has to be an account opened in the Applicant's name with a credit institution or a financial institution subject to the 2004 Law or subject to equivalent professional obligations as regards AML/CFT.

Bank name _____

Address _____

Account name _____

Account number _____

Sort code / IBAN code _____

Swift code _____

ABA number (if applicable) _____

Currency EUR USD CHF GBP Other : _____

Dealing Methods

Important Note: The Applicant, as well as the individual(s) it has appointed to deal on its account, and in particular to sign and provide instructions in relation to transactions on the shares in the Fund on its behalf ("Authorised Dealers"), may give instructions to the Administrator, and as applicable to the Fund, and/or its Management Company (as applicable) at its own risk by fax, email or other electronic similar means. Please also read the Section "Instruction Authority" of this Application Form.

Please tick the method(s) in which the Applicant's Authorized Dealers will be dealing on the account:

Swift Post Fax Email

Fax and email are subject to a separate fax or email agreement. If you choose not to instruct by fax or email, all your instructions must be sent by post or Swift.

Dividend Policy

Dividends will be paid out in cash to your bank account. Should you wish dividends to be re-invested, please tick the box:

Re-invested

In case of re-investment, the dividends will be re-invested into the corresponding classes of shares in the Fund at the prevailing issue price.

Instruction Authority

The Applicant may give instructions at his own risk either by fax, email, or other electronic similar means. Any authorization of instruction method shall remain in force until notice in writing of its termination is received by the Administrator.

The authority for fax, e-mail and such other electronic means does not apply to notifications of change of name and other basic changes in the relationship where original documentation must be sent by post to the Administrator, unless the instructions are signed by means of a qualified electronic signature (see Appendix 1 footnote 14). The Applicant agrees that phone conversations may be recorded and that records may be used in court or other legal proceedings as evidence.

The Applicant(s) may give instructions either by fax, e-mail (email address to be used: **list.amluxdealingdesk@credit-suisse.com**) or other electronic similar means. Any authorization of instruction method shall remain in force until notice in writing of its termination is received by the Administrator.

By choosing fax, e-mail dealing or other electronic similar means, the Applicant(s):

- understand(s) and agree(s) that the Fund and the Administrator will rely on such electronic instructions received in good faith without further enquiry;
- authorise(s) the Fund and the Administrator to rely on such electronic instructions, based on the terms contained under this section 'Instruction Authority'. If further clarification is required, this includes the right for the Fund and the Administrator to request original documentation;
- understand(s) and accept(s) that electronic communications can easily be misdirected, resulting in increased risk of being received by unintended and unknown recipients;
- understand(s) and accept(s) that electronic communications can be easier to falsify than handwritten or signed hard copies. It is not feasible for the Fund and the Administrator to verify the true identity of the sender, or to ensure that only the recipient can read the message once it has been sent;
- understand(s) and accept(s) that electronic communications are not a secure form of communication and may be intercepted, altered or corrupted or otherwise not received;
- understand(s) and accept(s) that using and relying on electronic communications involves increased risk of fraud and of miscommunications including those due to a telecommunications system or equipment failure, misdirected communications or illegibility of the instructions or documents;

- acknowledge(s) that if the Applicant(s)' electronic communication has/have not received a response, it is the Applicant(s)' responsibility to follow up to determine whether the Fund or the Administrator received the electronic communication and when it will respond;
- understand(s) that the Applicant(s) may still elect at any time to deliver originals either physically or by facsimile;
- understand(s) and agree(s) that the Fund and the Administrator may choose not to rely on electronic communications. If this is the case, the Fund and the Administrator will endeavour to contact the Applicant(s) on the same day to advise him/her/it/them of its decision but accepts no liability for failure to do so;
- acknowledge(s) that the Fund and the Administrator shall be held harmless and indemnified against any loss arising as a result of any failure by the Applicant(s) to acquire the shares via electronic instructions;
- agree(s) to bear the risks associated with using and relying on electronic communications;
- agree(s) that the Fund and the Administrator will not accept any responsibility and shall not be held liable for any use of an incorrect email address, interception, failure, alteration or corruption of the electronic instructions, non-receipt of the electronic instructions, failure of the technical infrastructure, or any allotment, transfer, payment or other act carried out in good faith in accordance with electronic instructions; and
- if the Fund or the Administrator incurs a loss of any nature due to its acting or failing to act on electronic communications received from the Applicant(s) or due to equipment failure or any circumstances beyond the control of the Fund or the Administrator, the Applicant(s) will indemnify and keep indemnified the Fund and the Administrator from all such losses.

Information Sharing, Confidentiality and Professional Secrecy

The Applicant acknowledges that the Fund is managed by a Management Company which is part of a group of companies controlled by Credit Suisse Group Ltd. ("**Affiliated Companies**") and that employees of Affiliated Companies necessarily work closely together to ensure that the Applicant benefits from all the relevant expertise within the Credit Suisse Group with a view to maintain, monitor and improve the Applicant's relationship with the Fund and Credit Suisse group. The Applicant also acknowledges that the Fund and the Management Company work closely together with their delegates and service providers (including, but not limited to, the Administrator, the custodian/depository bank), as well as their delegates, who intervene in the management of the Fund ("**Relevant Parties**"). Accordingly, by subscribing in the Fund, the Applicant agrees that the information relating to the Applicant and affected persons (if applicable, where the Applicant is holding an account such as nominee, intermediary agent or any similar roles, on behalf of such persons) supplied by it to the Fund, the Management Company and/or the Administrator or their delegates may be shared with the Relevant Parties as well as with Affiliated Companies worldwide which may be located in Luxembourg or in other countries other than Luxembourg (including countries in the EU and outside the EU, including in particular, but not limited to, Switzerland and Poland), including without limitation for the purposes as disclosed by the Fund in the Data Protection Notice.

The Information supplied by you to the Fund, the Management Company and/or the Administrator or their delegates will be held for purposes connected with the Applicant's investment in the Fund, and to comply with applicable legal and regulatory requirements. The Applicant consents to the disclosure of such data, including the shareholders positions in the Fund, (a) when required by law or regulation (Luxembourg or otherwise); (b) to the Fund's depository or (c) to other parties which intervene in the process of the business relationship and where the transfer is necessary for the maintenance of records, administration or provisions of services in relation to the Fund (e.g. external processing centres, dispatch or payment agents).

The Applicant also acknowledges that subscribing, redeeming and/or holding shares in a Fund that is investing its assets in financial instruments and securities outside of the Grand-Duchy of Luxembourg and subject to foreign laws and regulations in accordance with the Prospectus investment restrictions ("**Investments**") can result in additional disclosure requirements regarding the identity of the Applicant and the identity of its beneficial owners ("**Local Disclosure Requirements**"). Those Local Disclosure Requirements may require disclosing the Applicant's and/or its beneficial owners' identity and related further information to the relevant locally authorized third party. Such disclosure may be required in relation to the Investments in order to ensure the clearing of securities, to conduct client identification procedures, to establish the beneficial owner of the assets, to maintain a record of those beneficiaries and certain kinds of transactions, to establish segregated sub-accounts, obtain investor and/or tax-IDs/licenses/registrations (or similar), comply with foreign ownership limitations and threshold reporting obligations as well as reporting obligations vis-à-vis issuers, (stock) exchanges, authorities or regulatory bodies and/or comply with any other foreign legal or regulatory duties. The Management Company and its Affiliated Companies are hereby authorized and instructed to provide the information supplied by the Applicant to those third parties including inter alia local intermediaries, sub-custodians, their service providers, the issuers of the Investments, service providers authorized by the issuers, (stock) exchanges, central securities depositories, clearing institutions, brokers and/or any authority or regulatory body including fiscal authorities and revenue agencies located in the countries of the Investments. Certain of the aforementioned recipients may make the disclosed information available to other third parties, to third party countries or the public domain.

The Applicant further acknowledges that the Administrator outsources certain operational and administrative tasks (including but not limited to calculations and processing, dealing, transaction processing, financial and cash operations, reporting, risk management, legal and regulatory compliance, client/investor services, tasks relating to group management and control functions, financial and operational management and reporting, client service management, business continuity management and product development, IT and other technical resources and support) to Affiliated Companies and third parties which are not Affiliated Companies, which may be located in Luxembourg or outside Luxembourg (including countries in the EU and outside the EU), and in particular Switzerland and Poland. The type of information in relation to you, your beneficial owners, investors, and representatives (together referred to as the "**Information**") disclosed by the Administrator include such data as:

- surname, first name, domicile, address, nationality, date and place of birth, profession (in case of legal persons: corporate name, address of registered office, registration number with the relevant corporate registry, date and place of incorporation, nationality, legal form, shareholder structure);
- information on identification documents: issuance numbers, date and place of issuance, duration of validity and copies of such documents (in case of legal persons: deed and articles of incorporation, excerpts from corporate registry, shareholder register);
- tax domicile and other tax-related documents and information, including FATCA and/or CRS status; and
- transactions, assets and orders and communications relating thereto.

Accordingly, in relation to the professional secrecy duties of the Administrator (or its delegate in Luxembourg as the case may be), you authorise the Administrator (or its delegate in Luxembourg as the case may be), to disclose to Affiliated Companies and third parties which are not Affiliated Companies located in the countries in the EU and outside the EU (in particular in Switzerland and Poland), Information on you, your investors, beneficial owners and representatives.

The Applicant may withdraw its consent at any time by giving written notice hereof and may refuse to communicate further Information to the Fund. In this case, however, the Fund, the Management Company or the Administrator may reject a request to subscribe for shares and may proceed to a compulsory redemption of any shares held by the Applicant.

As a result of the acquisition of Credit Suisse Group by UBS Group, all entities of Credit Suisse Group have become UBS Group entities. Accordingly, the references to "Credit Suisse Group" (and similar references, e.g. "Credit Suisse entities", "affiliates", etc.) also include the UBS Group entities.

Tax Information

The Applicant(s) acknowledge(s) that it/he/she/they has/have obtained their own independent advice on the taxation consequences of investing in the Fund and that the Applicant(s) has/have not received any tax or legal advice regarding investment in the Fund from the Fund or the Administrator and that this investment is the result of its/his/her/their own decision.

The Applicant(s) agree(s) that if it/he/she/they is/are subject to tax in another country or jurisdiction (or the Fund or its agents have reason to believe or are required to assume that this may be the case), the Fund and its agents may be required by legislation, regulation or by agreement with tax authorities of that country to report on an ongoing basis certain information about you and your accounts and assets you hold with the Fund and its agents on an individual or aggregated basis to a relevant tax authority which then pass that information to the tax authorities where you are subject to tax or directly to the tax authorities in that country. The Fund and/or its agents may also have to report information about your direct and indirect shareholders or other owners or interest holders and, if you are a trust, your beneficiaries, settlors or trustees.

If the Fund or its agents are required to report information about you, this would include (but is not limited to) information about you, your accounts and assets, for example your account number(s), the amounts of payments including interest paid or credited to the account(s), the account balance(s) or asset values, your name, address and country of residence and your social security number/taxpayer identification number or similar (if applicable). You may need to provide us with further information, if requested, about your identity and status.

FATCA

Capitalized terms used in this section should have the meaning as set forth in the Luxembourg amended law dated 24 July 2015 (the "FATCA Law"), unless provided otherwise herein.

On 28 March 2014, the Grand-Duchy of Luxembourg entered into a Model 1 Intergovernmental Agreement ("IGA") with the United States of America and a memorandum of understanding in respect thereof relating to the Foreign Account Tax Compliance provisions of the US tax code, commonly referred to as "FATCA". Under the IGA, the Fund may be required to collect information aiming to identify its direct and indirect investors that are specified US Persons for FATCA purposes ("reportable accounts"). Any such information on reportable accounts provided to the Fund will be shared with the Luxembourg tax authorities which will exchange that information on an automatic basis with the Government of the United States of America pursuant to Article 28 of the convention between the Government of the United States of America and the Government of the Grand-Duchy of Luxembourg for the Avoidance of Double Taxation and the Prevention of Fiscal Evasion with respect to Taxes in Income and Capital, entered into in Luxembourg on 3 April 1996. The Fund intends to comply with the provisions of the Luxembourg IGA to be deemed compliant with FATCA and will thus not be subject to the 30% withholding tax with respect to its units of any such payments attributable to actual and deemed U.S. investments of the Fund.

In accordance with the FATCA Law, Luxembourg financial institutions are required to report to the Luxembourg tax authority (i.e. Administration des Contributions Directes, the "**Luxembourg Tax Authority**") information regarding reportable persons such as defined in the FATCA Law.

Reference is made to the FATCA and US Person relevant section of the Prospectus.

As of the date of this Application Form, the Fund is considered a sponsored entity and as such as a non-reporting Luxembourg financial institution and shall be treated as deemed compliant FFI as foreseen by FATCA. The Fund may decide to opt for another status under FATCA in the future.

Applicants are required to provide a declaration form to certify their status under FATCA.

The declaration should be provided by completing the relevant US tax forms and supporting documents where applicable, or by providing a certification acceptable to the Fund and the Administration, which reasonably demonstrates the Applicant's FATCA classification and Applicant's GIIN (US Global Intermediary Identification Number), if applicable.

You may find below a link to the latest US tax forms that might be of relevance to you:

<http://www.irs.gov/pub/irs-pdf/fw8bene.pdf>

<http://www.irs.gov/pub/irs-pdf/fw8imy.pdf>

<http://www.irs.gov/pub/irs-pdf/fw8exp.pdf>

<http://www.irs.gov/pub/irs-pdf/fw9.pdf>

If any of the information provided about Applicant's tax residency or FATCA classification changes in the future, you must ensure that the Fund and the Administration is promptly advised of these changes.

If you have any questions about your classifications under FATCA, you should contact your tax advisor.

The Applicants undertake to inform the Administrator within thirty (30) days of receipt of these statements should any included FATCA Personal Data (as defined in the Data Protection Notice) not be accurate and/or need to be rectified. Should the Applicants fail to inform the Fund of such inaccuracies and/or need for rectification within this thirty (30) days period, the statements shall be deemed accepted by the Applicants.

The Applicants further undertake to inform the Fund within thirty (30) days of, and provide the Fund with all supporting documentary evidence of any changes related to the FATCA Personal Data after occurrence of such changes.

Should the Fund and/or the Administrator become aware of any change of the information provided in the Application Form that would be considered a change of circumstance in terms of FATCA (e.g. change of the Applicant's tax residency or FATCA classification), the information about Applicants' account(s) may be provided to the Luxembourg Tax Authority on an annual basis if a valid and complete self-certification form, reflecting the change of circumstance, is not received from each Applicant within thirty (30) days of first requesting the Applicant to complete it.

The Fund's ability to satisfy its reporting obligations under the FATCA Law will depend on each Applicant providing the Fund with the FATCA Personal Data, including information regarding direct or indirect owners of each Applicant, along with the required supporting documentary evidence. Upon request of the Fund, each Applicant must provide the Fund with such information. Failure to do so within the prescribed timeframe may trigger a notification of the Account to the Luxembourg Tax Authority.

Although the Fund will attempt to satisfy any obligation imposed on it to avoid any taxes or penalties imposed by the FATCA Law, no assurance can be given that the Fund will be able to satisfy these obligations. If the Fund becomes subject to a tax or penalty as result of the FATCA Law, the value of the Shares may suffer material losses.

Any Applicant that fails to comply with the Fund's documentation requests may be charged with any taxes and penalties of the FATCA Law imposed on the Fund (*inter alia*: a fine of up to 250.000 euros or a fine of up to 0,5 per cent of the amounts that should have been reported and which may not be less than 1.500 euros) attributable to such Applicant's failure to provide the information and the Fund may, in its sole discretion, redeem the Shares of such Applicant.

CRS self-certification

The Fund may be subject to the Standard for Automatic Exchange of Financial Account Information in Tax matters (the "**Standard**") and its Common Reporting Standard (the "**CRS**") as set out in the law implementing the CRS in Luxembourg (the "**CRS Law**").

Capitalized terms used in this section should have the meaning as set forth in the CRS Law, unless provided otherwise herein.

Under the terms of the CRS Law, the Fund is generally treated as a Luxembourg Reporting Financial Institution (Institution financière déclarante), unless e.g. it qualifies as an Exempt Collective Investment Vehicle ("organisme de placement collectif dispensé") within the meaning of the CRS Law.

A Reporting Financial Institution, as of 30 June 2017 and without prejudice to other applicable data protection provisions as set out in the Fund documentation, will be required to annually report to the Luxembourg Tax Authority: the "**CRS Personal Data**" (as defined in the Data Protection Notice).

As of the date of this Application Form, the Fund intends to qualify as a Luxembourg Reporting Financial Institution ("Institution financière déclarante") as per the CRS Law. The Fund may decide to opt for another status under the CRS Law in the future. The Fund's ability to satisfy its reporting obligations under the CRS Law will depend on each Applicant providing the Fund with the CRS Personal Data, including information regarding direct or indirect owners of the Applicant, along with the required supporting documentary evidence. In this context, the investors are hereby informed that, as data controller, the Fund will process the CRS Personal Data for the purposes as set out in the CRS Law. The investors undertake to inform their Controlling Persons, if applicable, of the processing of their information by the Fund. Upon request of the Fund, each Applicant must provide the Fund with such information. Failure to do so within the prescribed timeframe may trigger a notification of the Account to the Luxembourg Tax Authority.

The Applicants are further informed that the CRS Personal Data related to Reportable Persons within the meaning of the CRS Law will be disclosed to the Luxembourg Tax Authority annually for the purposes set out in the CRS Law. The personal data may be disclosed by the Luxembourg Tax Authority, under its own responsibility to foreign tax authorities.

In particular, Reportable Persons are informed that certain operations performed by them will be reported to them through the issuance of statements, and that part of this information will serve as a basis for the annual disclosure to the Luxembourg Tax Authority.

In this regard, the Applicants undertake to inform the Fund within thirty (30) days of receipt of these statements should any included CRS Personal Data be not accurate and/or need to be rectified. Should the Applicants fail to inform the Fund of such inaccuracies and/or need for rectification within these thirty (30) days period, the statements shall be deemed accepted by the Applicants.

The Applicants further undertake to inform the Fund within thirty (30) days of and provide the Fund with all supporting documentary evidence of any changes related to the CRS Personal Data after occurrence of such changes.

Should the Fund and/or the Administrator become aware of any change of the information provided in the Application Form that would be considered a change of circumstances in terms of CRS, the information about Applicant's Account(s) may be provided to the Luxembourg Tax Authority on an annual basis if a valid and complete self-certification form, reflecting the change of circumstance, is not received from each Applicant within thirty (30) days of first requesting the Applicant to complete it.

Although the Fund will attempt to satisfy any obligation imposed on it to avoid any taxes or penalties imposed by the CRS Law, no assurance can be given that the Fund will be able to satisfy these obligations. If the Fund becomes subject to a tax or penalty as result of the CRS Law, the value of the Shares may suffer material losses.

Any Applicant that fails to comply with the Fund's documentation requests may be charged with any taxes and penalties of the CRS Law imposed on the Fund (*inter alia*: a fine of up to 250.000 euros or a fine of up to 0,5 per cent of the amounts that should have been reported and which may not be less than 1.500 euros) attributable to such Applicant's failure to provide the CRS Personal Data or subject to disclosure of the information by the Fund to the Luxembourg Tax Authority, and the Fund may, in its sole discretion, redeem the Shares of such Applicant.

In this respect, the relevant information needs to be provided through completing the CRS Form (separate document provided together with this application form) and providing the executed form to the Administrator in order to comply with the legislation mentioned above.

If you have any questions about your classifications under the CRS Law, you should contact your tax advisor.

Anti-Money Laundering (AML) and Terrorist Financing Prevention

Pursuant to the Anti-Money Laundering Laws (as defined hereafter), the Administrator must identify the Applicant and the economic origin of the funds to be invested. Such laws and regulations require Applicants to declare to the Administrator their identity and the identity of any Beneficial Owners of the subscription (as defined hereafter). The Administrator is required to establish controls to determine the identity of Applicants, Beneficial Owners, investor proxies (and any persons on whose behalf they are acting).

In addition to the Application Form, further documentation may be requested in order to comply with any legal and regulatory requirements.

The Applicant(s) represent(s) that all subscription payments transferred to the Fund originated directly from a bank account in the name of the Applicant(s). If the Applicant(s) is/are applying on behalf of a Beneficial Owner, then the Applicant(s) represent(s) that all subscription payments transferred to the Applicant(s) with respect to such Beneficial Owner originated directly from a bank account in the name of such Beneficial Owner. The Applicant(s) represent(s) and warrant(s) that acceptance by the Administrator of this Application Form, together with acceptance of the appropriate remittance, will not breach or circumvent any applicable rules and regulations designed to prevent money laundering and the financing of terrorism. Specifically, the Applicant(s) represent(s) and warrant(s) that all evidence of identity is genuine and all related information furnished and to be furnished is accurate. Further, the Applicant(s) represent(s) and warrant(s) that it/he/she/they has/have a policy in place designed to identify clients who are or have been politically exposed persons, categorize them according to risk and ensure enhanced due diligence and monitoring are conducted where appropriate.

Beneficial Ownership

The Applicant(s) represent(s) that it/he/she/they do(es) not have the intention or obligation to sell, distribute or transfer the shares, directly or indirectly, to any other person or entity or to any nominee account. If the Applicant(s) is/are (i) acting as trustee, agent, custodian, representative or nominee for another person or entity, or (ii) an entity(ies) (other than a publicly-traded company listed on an organized exchange (or a subsidiary or a pension fund of such a company) based in a Financial Action Task Force ("FATF") jurisdiction investing on behalf of underlying investors (the persons, entities and underlying investors referred to in (i) and (ii) being referred to collectively as the "**Beneficial Owners**"), the Applicant(s) represent(s) and warrant(s) that:

(i) The Applicant(s) understand(s) and acknowledge(s) that the representations, warranties and agreements are made by Applicant(s) (x) with respect to Applicant(s) and (y) with respect to each of the Beneficial Owners;

(ii) The Applicant(s) has/have all requisite power and authority from each of the Beneficial Owners to execute this Application Form;

(iii) The Applicant(s) has/have established the identity of all Beneficial Owners, hold(s) evidence of such identities and will make such information and documentation available to the Administrator together with the Application Form.

Register of Beneficial Owners

Moreover, the Applicant acknowledges that the Fund and the Administrator shall ascertain the identity of any beneficial owner of the Applicant (which may include) persons directly or indirectly holding more than 25% of interests in the Fund or otherwise exercising control over the Fund), within the meaning of the law of 12 November 2004 on the fight against money laundering and financing of terrorism as amended. In this context, the Fund, the Management Company and the Administrator may require the Applicant to provide any document it deems necessary to effect such identification.

The Administrator will further provide the Luxembourg beneficial owner register (the "**RBO**") created pursuant to the Law of 13 January 2019 establishing a register of beneficial owners (the "**Luxembourg Beneficial Owners Register**") with relevant information about any Applicant or, as applicable, beneficial owner(s) thereof, qualifying as beneficial owner of the Fund within the meaning of the law of 12 November 2004 on the fight against money laundering and financing of terrorism as amended. Such information will be made available to the general public through access to the RBO, as required by, and under the conditions set forth in the Luxembourg anti-money laundering laws and regulations. In addition, the Applicant acknowledges that failure by an Applicant, or, as applicable, beneficial owner(s) thereof, to provide the Fund, the Management Company or the Administrator, or any delegate thereof, with any relevant information and supporting documentation necessary for the Fund to comply with its obligation to provide same information and documentation to the RBO is subject to criminal fines in Luxembourg.

Declarations & Signatures

In signing this Application Form, please ensure you are able to make the below declarations. **Important Note:** The right is reserved to the Fund and/or the Management Company (as applicable) and/or the Administrator to reject this Application Form in whole or in part.

By signing this form, we/I acknowledge that we are/ I am contractually bound by the terms of this Application Form as well as by the terms of the Prospectus and the constitutive documents of the Fund. Furthermore, we/I hereby declare, represent, and warrant as follows:

1. We/I confirm that we are/ I am duly authorised and qualified to become a shareholder in, and that we/I have full power and authority and are duly authorised to undertake to subscribe and subscribe for the selected class(es) of shares in the Fund and to enter into any subsequent transaction, and that the individual or individuals signing this Application Form and giving the declarations, warranties and representations below, as the case may be, on our behalf have been duly authorised by us to do so and this Application Form is our legal, valid and binding obligation, enforceable against us in accordance with its terms.
2. We/I confirm that we/I understand and accept that this application is made on the basis and subject to the latest Prospectus as supplemented by the country specific annexes (if any), the relevant KID(s), the constitutive documents and the financial reports of the Fund that we are/I am willing to subscribe in and any other documents required under applicable laws and regulations. This application and any subsequent subscriptions and transactions are based solely on and subject to the information contained in these documents and we/I undertake to invest in accordance with and subject to the terms of these documents.
3. We/I confirm having received, read and understood the Prospectus, the constitutive documents of the Fund and, if applicable in our/my jurisdiction, the relevant KID(s), and in particular we are/ I am familiar with and understand the nature and scope of our/my rights and obligations as shareholder holding the selected class(es) of shares in the Fund as well as region or country specific restrictions (if any), the investment objectives and policy(ies) of the Fund, the specific risk factors of and the charges applicable to the Fund, as set forth in these documents.
4. We/I confirm that the execution of this Application Form and acceptance of our/my subscription do not and will not violate any law, regulation, ordinance, charter, by-law, article of association or rule applicable to us/me or any agreement by which we are/ I am bound or by which any of our assets are affected.
5. We/I have such knowledge and experience in financial and business matters as to be capable of evaluating the merits of, and we are/ I am able to bear the economic risk, including a complete loss of, investment in the Fund and the selected class(es) of shares and have consulted with our/my own tax and legal counsel and our/my other financial advisors to the extent we/I have deemed necessary.
6. We/I undertake to send the subscription monies in the correct currency to the bank detailed in the Section "TA Subscription Collection Account Settlement Instruction". Any necessary currency transactions will be effected at our cost.
7. We/I undertake not to engage in any activities which may adversely affect the interests of the Fund's shareholders and we/I acknowledge that if the Fund or the Management Company (as applicable) and/or the Administrator reasonably determines or suspects that we/I have engaged in such activities, it may suspend, cancel, reject or otherwise deal with our/my application and take any action or measures as appropriate or necessary to protect the Fund and its shareholders.
8. We/I confirm that we are not a Prohibited Person (as defined in the Fund's Prospectus including but not limited to any US Person, as defined in the Fund's Prospectus) ("**Prohibited Person**") and we are/I am not acquiring the Fund's shares on behalf of or for the benefit of such Prohibited Person. We/I further confirm that we/I will not transfer any shares in the Fund to a Prohibited Person. We/I understand and agree that (i) the Fund and/or its Management Company (as applicable), respectively the Administrator, may proceed to a compulsorily redemption of any shares held by any person excluded from the acquisition or ownership of such shares in the Fund (such as a Prohibited Person) and that (ii) any transfer or acquisition of shares in the Fund by a Prohibited Person, either alone or in conjunction with any other person, whether directly or indirectly, may lead to the potential compulsory redemption of the shares held by the Prohibited Person in the Fund. Furthermore we/I agree that the Fund and/or its Management Company (as applicable) and/or respectively the Administrator may require us/me to provide them with any reasonable information that they may consider necessary for the purpose of determining whether or not such owner of shares in the Fund is or will be a Prohibited Person.
9. We/I acknowledge that we are/ I am aware of the remedies available to the Fund and/or its Management Company (as applicable) in case of non-compliance with the special conditions for acquiring and maintaining the Fund shares classes as well as in the event of failure to meet any part of our payment obligations and other obligations as set forth in the Prospectus, the constitutive documents of the Fund and this Application Form when due, and are/am prepared to accept the exercise against us/me of such rights and remedies in the event of such non-compliance or failure. In particular, we are aware/ I am and undertake to submit application for subscription of any classes of shares in the Fund with the Administrator by the relevant date and cut-off time as set out in the Prospectus and acknowledge

that any application received after the cut-off time on any valuation day (such relevant day as defined in the Prospectus) will be processed on the next valuation day.

10. We/I agree to undertake to provide a list of individuals, including signature specimens, duly authorised to deal on our account, and in particular to sign and provide facsimile or electronic instructions in relation to transaction on the Fund shares on our behalf ("**Authorized Dealer(s)**") and authorise the Fund and/or its Management Company (as applicable) and/or the Administrator to act and rely upon any facsimile or electronic instructions received in good faith from such Authorized Dealers without further inquiry until written notice of its termination is received and without liability in respect of any transfer, payment or other act made, done or omitted to be done in respect of our account in accordance with such facsimile or electronic instructions received in good faith by the Fund and/or its Management Company (as applicable) and/or the Administrator.
11. We/I acknowledge and agree that communication between the Fund and/or its Management Company (as applicable) and/or the Administrator and US-based contacts (including any of our representatives, attorneys or agents) is subject to specific restrictions and that any Authorized Dealer being domiciled in the United States or its territory and wishing to have signatory authority on our account may not be accepted. We acknowledge and agree to provide the Fund and/or its Management Company (as applicable) and/or the Administrator with a complete and accurate list of our Authorized Dealers
12. We/I agree to provide to the Fund and/or its Management Company (as applicable) and the Administrator such information as the Fund and/or its Management Company (as applicable) and the Administrator determine to be necessary or appropriate (i) to comply with the anti-money laundering laws, rules and regulations of any applicable jurisdiction and (ii) to respond to requests for information concerning the identity of investors from any governmental authority, self-regulatory organisation or financial institution in connection with its AML/CFT compliance procedures, or to update such information. Should the Applicant under law or regulation applicable to it require any prior consents, or approvals, by any local competent authority it will promptly seek to obtain such consent or approval.
13. We/I understand that the information provided herein will be relied upon by the Fund and/or its Management Company (as applicable) and the Administrator for the purpose of determining our/my eligibility to subscribe for and hold shares in the Fund. To the fullest extent permitted under applicable law, we/I agree to indemnify and hold harmless the Fund and/or its Management Company (as applicable) and the Administrator and their affiliates, the officers, directors, direct and indirect shareholders, members, agents, partners and employees of each of the foregoing, from and against any loss, damage or liability due to or arising out of a breach of any representation, warranty or agreement of us/me contained in this Application Form.
14. We/I agree that we/I will be solely responsible for complying with all the relevant legal, tax, and exchange control regulations in force in the applicable country of our citizenship, residence, or domicile.
15. We/I will not transfer, sell, deliver, or otherwise dispose of all or any part of the shares in the Fund except in accordance with the restrictions set forth in the Prospectus and the constitutive documents of the Fund.
16. We/I agree that any instructions given by fax, emails or other electronic or other means are at our risk.
17. We/I agree to the non-encrypted electronic receipt of statements and other reports that may be issued from time to time by the Administrator and are aware that it cannot be fully ruled out that the information transmitted via facsimile, emails or other similar electronic communication means may become accessible for third parties, and that the exchange of information can be delayed or interrupted due to transmission errors, technical faults, interruptions, malfunctions, illegal interventions, network overload, the malicious blocking of access by third parties, or other shortcomings on the part of the network provider.
18. We/I declare having taken particular notice of the Sections "FATCA" and "CRS Self-certification" above and declare that we/I expressly acknowledge that the Fund and/or its Management Company (as applicable) and/or the Administrator may be obliged under local laws and regulations to collect and report to the Luxembourg competent tax authorities and the relevant foreign tax authorities certain information provided in this Application Form, such as but not limited to information to be provided for the purpose of compliance with FATCA and CRS law (as defined above).
19. We/I confirm that the information set out above comprises our complete address as well as our banking references for the purpose of inclusion thereof in the register of shareholders of the Fund. We/I confirm that the Fund and/or its Management Company (as applicable) and/or the Administrator may treat such information as accurate and up to date until notice to the contrary shall have been received in writing by it from us/me.
20. We/I agree to inform the Fund and/or its Management Company (as applicable) and/or the Administrator promptly of any changes in our/my details, i.e. details about the Applicant itself (especially name, domicile address, contact, correspondence details, nationality/nationalities) as well as changes in the details of our Authorised Dealers, other authorized agents and representatives, beneficial owners, controlling owners, beneficiaries, and other persons involved in the relationship, as well as of any revocation of powers of attorney or signatory powers that we have

granted. We/I understand and accept that there may be consequences including adverse consequences arising from our/my failure to provide prompt notification of the above as described in the Prospectus.

21. The Applicant warrants and declares to the best of its knowledge and to the extent required according to applicable global minimum standards of the Applicant or local requirements as appropriate that the funds that have been or will have been invested pursuant to this Application Form, have been or will have been properly declared monies and do not/did not represent directly or indirectly the proceeds of any criminal activity, including tax crimes, and that the investment is / was not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise. Further the Applicant confirms in the same manner that (i) funds have not been and will not be invested for purposes of evading tax obligations and (ii) that the Applicant complies with its tax obligations in its country of residence and any other country where the Applicant has reporting obligations or must pay taxes.
22. We/I acknowledge, undertake and declare that we/I will, upon reasonable request (can be annually or more frequent, depending on the circumstances), confirm the qualifying status of eligible investors with regards to classes of shares restrictions as set out in the Prospectus.
23. We/I agree to represent and warrant that:
- a) no Underlying Investor is subject to sanctions imposed by the United Nations, the European Union, Her Majesty's Treasury and the Foreign and Commonwealth Office of the United Kingdom (HMT), United States Treasury Department's Office of Foreign Assets Control (OFAC), Hong Kong Monetary Authority (HKMA), Monetary Authority of Singapore (MAS) and/or Swiss State Secretariat for Economic Affairs or the Directorate of International Law of Switzerland (SECO), in addition to any sanctions imposed by Luxembourg and the jurisdiction we are/ I am subject to, and that we/I will at all times be in compliance thereto;
 - b) we/I have systems and controls in place to ensure compliance with the authorities listed above, including daily screening of the Underlying Investors;
 - c) we/I will notify the Fund, the Management Company, and/or the Administrator, of any parties directly or indirectly making use of the account that are subject to sanctions imposed by the authorities listed above, immediately upon becoming aware of them and shall furnish the Fund, the Management Company, and/or the Administrator with all such documentation as may be requested by the Fund, the Management Company, and/or the Administrator in relation to such parties;
 - d) we/I will take all such steps as is required to block, freeze and isolate the holdings of any person identified and notified at the above c) if they are subject to an asset freeze imposed by any of the sanctions authorities listed above.

We/I have read and understood the content of this Application Form and confirm that the declarations, representations, and the information set out in this Application Form are made in connection with our/my investment in the Fund and shall survive the execution and delivery of this Application Form, the issue of the shares in the Fund to us/me and our/my admission as shareholder of the Fund.

Date _____ Authorised Signature(s)

Print name(s)³

³ For legal entities, an authorised official whose representative capacity must be stated must sign the Application Form. Please provide evidence of this authorisation together with the Application Form.

APPENDIX 1

Prevention of Money Laundering and Terrorist Financing

Identification Documents

In addition to this Application Form, the Applicant must provide to the Fund's Administrator the documentation as specified below by sending them via postal mail to:

CREDIT SUISSE FUND SERVICES (LUXEMBOURG) S.A.

E-Mail: list.amluxclientservice@credit-suisse.com

Telephone: +352 43 61 61 608 / 582 / 621

Office address: 5, Rue Jean Monnet, L-2180 Luxembourg

Mailing Address: P.O. Box 369, L-2013 Luxembourg

The Application Form and accompanying documentation may also be accepted by e-mail, provided that the originals are forwarded by post.

For any question, please contact the Administrator's Shareholders Services Team by phone or email (see above).

Depending on the Applicant's capacity in relation to the subscription of shares of the Fund, the following documents must be provided to the Administrator.

Pursuant to the Luxembourg AML/CFT Rules, obligations have been imposed on all banks and professionals of the financial sector to prevent the use of investment vehicles for money laundering and terrorist financing purposes. Within this context a procedure for the identification and verification of the identity of investors, their representatives or proxies and, as the case may be, any of their Beneficial Owners has been imposed, which requires the Applicant to provide certain information as outlined below (depending, amongst others if being a natural or a legal person).

Applicants agree that the specific quality of the documentation to be received and further listed below may vary upon the different ML/TF risks identified by the Fund, Administrator as well as the Management in relation to, amongst others, the Applicant, its geographical location, the distribution channel(s) and/or any other situation deemed as a higher risk, which may thus include either to request:

- i. wet ink signed documents/original documents or electronically signed documents (qualified electronic signatures) as validly signed documents⁴;
- ii. certified copies of original documents;
- iii. standard copies of original documents (received in paper format or electronically)

In any case, the Fund, the Management Company as well as the Administrator and their delegates reserve the right to request wet ink signed documents/original documents.

1. If the Applicant is a **natural person**, you and, as the case may be, your proxy or other representative(s) or person(s) acting on your behalf, or authorized persons signing the Application Form on your behalf, should provide for:
 - i. a copy of valid identity card or passport which bears the person's signature and photograph and
 - ii. if applicable, other documents, like a utility bill, providing evidence of the residential address and/or of the bank reference.
2. If the Applicant is a **(i) financial institution** within the meaning of Article 3 of the Directive (EU) 2015/849⁵ (the "**Directive (EU) 2015/849**") (e.g. banks, life insurance companies, investment firms etc.) that are licensed and supervised for AML/CFT purposes in a member state of the EU or the EEA or another jurisdiction in a manner consistent with Chapter VI, Section 2 of the Directive (EU) 2015/849 and apply customer due diligence requirements and record-keeping requirements that are consistent with those laid down in the 2004 Law and in the Directive (EU) 2015/849 and have their AML/CFT compliance in accordance with the requirements of the 2004 Law, the Directive (EU) 2015/849 or equivalent rules applicable to them (the "**Financial Institution**" or the "**FI**") and, as the case may be, are acting in their own name but also on behalf of one or more underlying person(s) being economically entitled to such investment ("**Underlying Investors**") or **(ii) a company listed** on a recognised stock

⁴ Electronic signatures are deemed to be equivalent to wet-ink signatures under Luxembourg law, provided that they meet the requirements for qualified electronic signatures (i.e. it is based on a qualified certificate for electronic signatures issued by a qualified trust service provider or was created by a qualified electronic signature creation device). However, in case an electronic signature does not meet the requirements for qualified electronic signatures, the Fund, Administrator and/or the Management Company may request to be provided with evidence by other means in case there is a doubt in respect of the relevant signature or in case the Fund, the Management Company or the Administrator suspects a document to be forged.

⁵ Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, amending Regulation (EU) No 648/2012 of the European Parliament and of the Council, and repealing Directive 2005/60/EC of the European Parliament and of the Council and Commission Directive 2006/70/EC.

exchange or dealt with on a regulated market that is subject to AML/CFT requirements equivalent with those in the 2004 Law or the Directive (EU) 2015/849 (the "**Listed Entity**"), in addition to the information/documentation to be provided in the relevant applicable sections/forms of this Appendix 1, you should provide for

- i. the latest coordinated or up-to-date articles of incorporation (or an equivalent incorporation document);
- ii. a recent and up-to-date extract from the companies register (*registre des sociétés*) (or equivalent supporting evidence);
- iii. copy of passport or identity card for those directors who will represent the Applicant in relation to the relevant application/subscription;
- iv. name of the directors (*dirigeants, members of the authorised management*) and directors (*administrateurs*) or persons exercising similar positions (for the legal arrangements) and involved in the business relationship with the Fund;
- v. provisions governing the power to bind the legal person or arrangement;
- vi. authorisation to enter into a relationship;
- vii. a copy of the current and dated list on company letterhead of authorized signatories, or an officially published booklet of authorized signatories, or a duly completed and signed board resolution.
- viii. the FATCA declaration (see previous section) and relevant tax residency declarations.

The Administrator must require that the Applicant give evidence of its qualification as Financial Institution or Listed Entity to justify that, if deemed applicable, simplified customer due diligence measures can be undertaken.

Except for a Listed Entity, the representatives and the natural person who is/are the Beneficial Owner(s) of the corporate or legal entity must comply with the disclosure requirements as further set out below as well as in the relevant "Beneficial Owner" and "Investor Profile" forms of this Appendix 1.

3. If the Applicant is a **corporate or legal entity investor acting for its own account**, please provide for
- i. a copy of the evidence of registration (e.g., excerpt of the trade register);
 - ii. a copy of the constituting documents of the corporate or legal entity (e.g., memorandum and articles of association), a certificate of good standing or similar;
 - iii. an authorised signature list (current and dated list on company letterhead or officially published booklet of authorized signatories or a duly completed and signed board resolution);
 - iv. a copy of the latest financial statements;
 - v. the FATCA declaration (see previous section) and relevant tax residency declarations;
 - vi. a list of directors including their identity data, function and residential address and a copy of a valid identity card or passport of the person(s) signing the Application Form.

The representatives and the natural person who is/are the Beneficial Owner(s) of the corporate or legal entity must comply with the disclosure requirements set out below in the relevant Beneficial Owner and Investor Profile forms.

4. If the Applicant is a **trust**, please provide for
- i. a copy of trust agreement;
 - ii. certified signing powers (including specimen signatures);
 - iii. copies of the identification documents of the authorised signatory(ies) signing the Application Form;
 - iv. the FATCA declaration (see previous section) and relevant tax residency declarations;
 - v. list of trustees;
 - vi. name of the settlor;
 - vii. the name of the protector;
 - viii. list of beneficiaries (if available).

The representatives and the person(s) who is/are the Beneficial Owner(s) of the trust (i.e. including the settlor, protector and trustees) must comply with the disclosure requirements set out below in the relevant Beneficial Owner and Investor Profile Forms.

5. If the Applicant is a **partnership**, please provide for
- i. a copy of partnership agreement;
 - ii. certificate of incorporation;
 - iii. certified signing powers (including specimen signatures);
 - iv. copies of the identification documents of the authorised signatory(ies) signing the Application Form;
 - v. list of partners;
 - vi. list of natural persons exercising ultimate control over the partnership;
 - vii. the FATCA declaration (see previous section) and relevant tax residency declarations;
 - viii. copy of most recent financial statements (if available).

The representatives and the natural person who is/are the Beneficial Owner(s) of the partnership must comply with the disclosure requirements set out below in the relevant "Beneficial Owner" and "Investor Profile" forms of this Appendix 1.

Where a legal representative or power of attorney holder is signing this Application Form on behalf of the Applicant ("**Proxy**"), the Proxy shall complete the relevant profile forms as attached in Appendix 1 of this Application Form. The Proxy and authorized signatory of Legal Entities, as the case may be, further represents and warrants that he has full power and authority to subscribe

for shares in the Fund, including that he has been duly authorised to do so by a power of attorney or other written authority; and **(ii)** he has no reason to believe that the Applicant will not be able to perform any settlement obligation in relation to such subscription; and **(iii)** he has obtained and recorded evidence of the identity of the Applicant as well as the Beneficial Owners as further specified under the relevant sections/forms of the Appendix 1 and provides these information and documents to the Fund and/or the Management Company or the Administrator together with this Application Form .

In any case, and for all types of Applicants, the Fund, the Administrator as well as the Management Company reserve the right to request additional information/documents required, in particular, for the purposes of complying with any requests of the AML/CFT competent authorities or in case a higher risk scenario has been identified by these parties as well as any of its further AML/CFT obligations pursuant to the AML/CFT Rules and to refuse the subscription if the Applicant is not able to provide the details required or other necessary documents. Any information provided to the Administrator, the Fund as well as the Management Company in this context is collected for AML/CFT compliance purposes only.

Please consider the forms to be completed depending on the capacity of the Applicant as outlined below. The Administrator, the Management Company and/or the Fund reserve the right to request additional information/documents required for tax, eligible investor status and any of the above-mentioned AML/CFT compliance purposes and to retain any redemption proceeds if exceptionally the identification process could not be completed before.

Note on certifications:

- Independently certified means certified by name, date and signature as a true and complete copy of the original by (i) a competent authority in accordance with the laws of your country (e.g., notary, embassy, consulate, solicitor, the competent official authority who issued the document); or (ii) a Credit Suisse bank.
- Self-certified means certified by name, date and signature as a true, complete and valid copy of the original by the company itself (e.g. the company secretary)

Please choose one of the following and provide for the **completed and duly signed forms enclosed** as outlined below:

- The Applicant is a natural person and acting in its capacity as Investor subscribing for shares in the Fund in its own name and own behalf and not for another person being economically entitled to such investment:

1. **INVESTOR PROFILE FORM – Natural persons**
2. **REPRESENTATIVE/PROXY PROFILE FORM (in case a Proxy is acting on behalf of the Applicant)**

- The Applicant is acting in its capacity as Investor **subscribing for shares in the Fund in its own name and own behalf and not for another person being economically entitled to such investment**, as a corporate/legal entity (including to the extent applicable Listed Entities, trusts, and partnerships):

1. **INVESTOR PROFILE FORM – Corporate and Legal Entities (No subscriptions on behalf of Underlying Investors)**
2. **REPRESENTATIVE/PROXY PROFILE FORM (in case the Applicant is represented by a Proxy)**
3. **INVESTOR PROFILE FORM – Beneficial Owners of Corporate and Legal Entities (All non- Listed Entities)**
4. *if the Applicant is a legal entity classifying as **Passive Non-Financial Foreign Entities** for FATCA, a **Non-US declaration for Beneficial Owner of Legal Entities** for each Beneficial Owner of the legal entity*

- The Applicant is a financial institution or another corporate and legal entity qualifying as an acceptable Financial Institution⁶ (as defined above) and acting as financial intermediary **subscribing for shares in the Fund in its own name but on behalf of one or more Underlying Investors:**

1. **FINANCIAL INSTITUTION (FI) PROFILE FORM** (Subscriptions on behalf of Underlying Investors)
2. **REPRESENTATIVE/PROXY PROFILE FORM** (in case the Applicant is represented by a Proxy)
3. **INVESTOR PROFILE FORM – Beneficial Owners of Corporate and Legal Entities (All non- Listed Entities)**
4. **BENEFICIAL OWNER FORM** - Underlying Investors of FIs (i.e., Underlying Investor(s) qualifying as Beneficial Owner of the Fund)

⁶ To be determined after assessment by the Fund, the Administrator and/or the Management Company.

INVESTOR PROFILE FORM - Natural Person

Further to the correct registration details completed on page 2 of this Application Form, as a natural person, I declare that:

- I am subscribing for shares in the Fund in my own name and on my own behalf and not for another person being economically entitled to such investment;
- I further confirm that I neither am or was a politically exposed person pursuant to the 2004 Law ("PEP")⁷ nor am or was a direct family member nor am or was a person known to be a close associate of a PEP.
- If I am or was a PEP or a direct family member or a person known to be a close associate of a PEP, I will specify my relevant position / location as follows:

Profession

Salaried employment _____

Employer: _____

Industrial Sector: _____

Job/Position: _____

Self-employed Name of company: _____

Industrial Sector: _____

Retired

Last position held: _____

Other Please specify: _____

Purpose of investment: _____

It is hereby represented that the funds made available to the Fund do not and will not have a criminal origin of whatsoever nature, and in particular do not and will not constitute the proceeds of money laundering, primary offences relating thereto; and the investments made and the profits derived from the Fund will not be used for terrorism financing and that the source of funds that were brought into the Company (check all that apply) are deriving from:

Employment/Payroll Pension/Retirement Funds Insurance Policy Divorce/other Legal Settlement

⁷ According to the 2004 Law:

- i. "politically exposed persons" refers to any natural persons who are or have been entrusted with prominent public functions and family members or persons known to be close associates, of such persons.
- ii. "natural persons who are or have been entrusted with prominent public functions" shall mean all natural persons, including:
 - (a) heads of State, heads of government, ministers and deputy or assistant ministers;
 - (b) members of parliament or of similar legislative bodies;
 - (c) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances;
 - (d) members of courts of auditors or of the boards or directorates of central banks;
 - (e) ambassadors, *chargés d'affaires* and high-ranking officers in the armed forces;
 - (f) members of the administrative, management or supervisory bodies of State-owned enterprises;
 - (g) important officials and members of the governing bodies of political parties;
 - (h) directors, deputy directors and members of the board or equivalent function of an international organisation;
 - (i) the natural persons exercising the functions included in the list published by the European Commission based on Article 20a(3) of Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purpose of money laundering or terrorist financing, amending Regulation (EU) No 648/2012 of the European Parliament and of the Council, and repealing Directive 2005/60/EC of the European Parliament and of the Council and Commission Directive 2006/70/EC, hereinafter referred to as "Directive (EU) 2015/849".
None of the categories set out in (a) to (h) above shall be understood as covering middle ranking or more junior officials.
- iii. "Family members" shall mean all physical persons, including in particular:
 - (a) the spouse;
 - (b) any partner considered by national law as equivalent to the spouse;
 - (c) the children and their spouses, or partners considered by national law as equivalent to a spouse;
 - (d) the parents;
 - (e) the brothers and sisters.
- iv. "Persons known to be close associates" shall mean all natural persons, including:
 - (a) any natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a person referred to in point ii. above;
 - (b) any natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit de facto of the person referred to in point ii. above.

- Operating Business (specify): _____
- Inheritance (specify): _____
- Sale of House, Business, other (specify): _____
- Return on investment in (specify): _____
- Other (specify): _____

Estimated Total Net Worth

- Up to €1 million
 Up to €5 million
 Up to €10 million
 Up to €100 million
 Over €100 million

The undersigned declares that the relevant required documents as set out above and also under the section "Identification Documents" are provided along with this Application Form and that information set out above and in the attached form/s are true and undertakes to inform the Fund, the Administrator and/or the Management Company, of his own accord, immediately about any changes.

If you are signing this form as a Proxy on behalf of the account holder, please complete the "Representative/Proxy Form" of this Appendix 1 and indicate the capacity in which you are signing the form (e.g., power of attorney, executor or administrator, parent or guardian, etc.) and provide any required documentation of your authority.

Signed by:

Place and date

Signatures
Print names / titles

INVESTOR PROFILE FORM Corporate and Legal Entities (No subscriptions on behalf of Underlying Investors)

Important notes: This form must be completed for the Investor - being a corporate or legal entity subscribing for shares in the Fund on its own name and own behalf and not for another person being economically entitled to such investment. Concerning any Beneficial Owners of the corporate or legal entity please complete the attached form for Beneficial Owners of Corporate and Legal Entities per Beneficial Owner, which is an integral part of this declaration. Further information and documentation may be requested by the Fund, the Administrator and/or the Management Company if deemed necessary to verify the provided information in particular when the Applicant may be categorised as of higher risk as well as for the purposes of complying with any requests of the AML/CFT competent authorities as well as any further applicable AML/CFT obligations pursuant to the AML/CFT Rules. Amongst others, the information are required to comply with the Luxembourg AML/CFT Rules that require to establish and verify the identity of each investor, any representative and, as the case may be, any of its Beneficial Owner(s) as well as the establishment of the purpose and intended nature of the business relationship including the economic background/professional status and the economic origin/source of the funds to be invested of the investor and, as the case may be, any of its Beneficial Owner(s).

Name (legal Denomination) _____

As a legal person, we declare that:

We are subscribing for shares in the Fund in our own name and on our own behalf and not for another person being economically entitled to such investment.

Listed Entity? Yes, stock exchange:

No

If being no Listed Entity, we declare that:

there is no individual (i.e. natural person) directly or indirectly ultimately holding more than 25% of the shares or interests in us as the Applicant, i.e. at the level of our corporate entity or ultimately controlling more than 25% of its voting rights or ownership interests or has control via other means, thus qualifying as a Beneficial Owner as further referred to in the 2004 Law (as defined above) (and in such case we confirm that the "senior managing official(s)" ("**SMO**") should be considered as Beneficial Owner(s) under the scenario foreseen under the 2004 Law and thus the relevant form "Beneficial Owners of Corporate and Legal Entities (All non-Listed Entities)" of this Appendix 1 must be completed for these),

or

that the individual(s) listed in the completed form "Beneficial Owners of Corporate and Legal Entities (All non-Listed Entities)" directly or indirectly ultimately own more than 25 % of the shares or interests in us as the Applicant, i.e. at the level of our corporate entity or ultimately control more than 25 % of its voting rights or ownership interests or having control via other means, thus qualifying as a Beneficial Owner as further referred to in the 2004 Law (as defined above).

Industrial Sector _____

Main Business Activities that generated the assets of the Company

Number of employees _____

Does the Company conduct any form of commercial operation (operating activity)? Yes No

Is the Company wholly owned by an operational group? Yes: _____

No

Is the Company owned or controlled by a government / government entity?

Yes (specify): _____

No

Estimated Total Assets

Up to €1 million Up to €5 million Up to €10 million Up to €100 million Over €100 million

Purpose of investment:

Expected Total Amount to Invest

Up to €200,000 Up to €500,000 Up to €1,000,000 Up to €10,000,000 Over €10,000,000

Expected Period of Investment

< 6 months 6 – 12 month > 1 year

Planned Frequency of Future Investment

Lump Sum Weekly Monthly Quarterly Yearly Sporadic

The undersigned declares that the relevant required documents as set out above and also under the section "Identification Documents" are provided along with this Application Form and that the information set out above and in the attached form/s for Beneficial Owners of Corporate and Legal Entities are true and undertakes to inform the Fund, the Administrator and/or the Management Company, of his own accord, immediately about any changes.

Signed by:

Place and date

Signatures
Print names / titles

INVESTOR PROFILE FORM – Beneficial Owners of Corporate and Legal Entities (All non-Listed Entities)

Important notes: This form must be completed concerning each individual (i.e. natural person) directly or indirectly ultimately holding more than 25% of the shares or interests in the Applicant, i.e. at the level of the corporate entity or ultimately controlling more than 25% of its voting rights or ownership interests, thus being a Beneficial Owner (as defined above) of the corporate entity including Financial Institutions (other than a Listed Entity) or if under the scenario foreseen under the 2004 Law the SMO should be considered as Beneficial Owner(s) of the Applicant. Further documentation may be requested by the Fund, the Administrator and/or the Management Company if deemed necessary to verify the below information in particular when the Applicant or its Beneficial Owner may be categorised as of higher risk as well as for the purposes of complying with any requests of the AML/CFT competent authorities as well as the further applicable AML/CFT obligations pursuant to the AML/CFT Rules. The information are required to comply with the Luxembourg AML/CFT Rules that require to establish and verify the identity of each investor, any representative and, as the case may be, any of its Beneficial Owner(s) as well as the establishment of the purpose and intended nature of the business relationship including the establishment of the economic background/professional status and the economic origin/source of the funds to be invested of the investor and, as the case may be, any of its Beneficial Owner(s).

Details of the Beneficial Owner(s)⁸

Surname(s) and first Name(s) _____

Place and date of birth _____

Nationality(ies) _____

Full postal address of the person's main residence _____

If applicable, the official national identification number _____

Country of tax residence _____

Type of Beneficial Owner (Shareholder, controlling person, SMO, Settlor etc.) _____

It is hereby represented that the funds directly and indirectly made available to the Applicant do not and will not have a criminal origin of whatsoever nature, and in particular do not and will not constitute the proceeds of money laundering, primary offences relating thereto; and the investments made and the profits derived from the Applicant will not be used for terrorism financing and that the source of funds that were brought into the Company.

Does or did the Beneficial Owner qualify as a PEP pursuant to the 2004 Law (as defined above)?

Yes (specify):

Position / Location

No

The undersigned declares that the relevant required documents as set out above and also under the section "Identification Documents" are provided along with this Application Form and that the information set out above and in the attached form/s are true and undertakes to inform the Fund, the Administrator and/or the Management Company, of his own accord, immediately about any changes.

Signed by:

Place and date

Signatures
Print names / titles

⁸ In case of a trust (please see the definition of a Beneficial Owner for a trust above), please provide the details with regard to the following persons:

- i. list of trustees;
- ii. the name of the settlor;
- iii. the name of the protector;
- iv. list of beneficiaries (if available);
- v. other natural person exercising ultimate control over the trust, foundation, or legal arrangement by means of direct or indirect ownership or by other means.

FINANCIAL INSTITUTION (FI) PROFILE FORM – (Subscriptions on behalf of Underlying Investors)

Important note: This form must be completed where the Applicant is subscribing for shares in the Fund in its own name but on behalf of Underlying Investors. The Applicant under this form confirms that it is a FI (as defined above) and in case not being an acceptable FI (as defined above) it agrees to provide upon request by the Fund, the Administrator and/or the Management Company, additional information and documentation then listed below, amongst others, on itself, its AML/CFT policies and procedures (i.e., customer due diligence – record-keeping procedures etc.) and their Underlying Investors. In this context, further information and documentation may be requested if deemed necessary to verify the below information.

Name (legal Denomination) _____

Financial Regulator _____

As a FI, we declare that:

We are subscribing for shares in the Fund in our own name but on behalf of Underlying Investors.

Listed Entity? Yes, stock exchange: _____

No

If being no Listed Entity, we declare that:

there is no individual (i.e. natural person) directly or indirectly ultimately holding more than 25% of the shares or interests in us as the Applicant, i.e. at the level of our corporate entity or ultimately controlling more than 25% of the voting rights or ownership interests or has control via other means, thus qualifying as a Beneficial Owner as further referred to in the 2004 Law (and in such case we confirm that the SMO should be considered as Beneficial Owner(s) under the scenario foreseen under the 2004 Law and thus the relevant form “Beneficial Owners of Corporate and Legal Entities (All non-Listed Entities)” of this Appendix 1 must be completed for these),

or

the individual(s) listed in the completed form(s) “Beneficial Owners of Corporate and Legal Entities (All non-Listed Entities)” of the Appendix 1 directly or indirectly ultimately own more than 25 % of the shares or interests in us as the Applicant, i.e. at the level of our corporate entity or ultimately control more than 25 % of the voting rights or ownership interests or having control via other means, thus qualifying as a Beneficial Owner as further referred to in the 2004 Law.

Where the Applicant is subscribing for shares in the Fund on behalf of Underlying Investors, the Applicant confirms that he is acting as:

Distributor of the Fund with a distribution agreement signed with the Management Company or the Fund.

Sub-distributor of the Fund (*please indicate name and address of the Distributor*):

Custodian acting on behalf of Underlying Investors

Any other intermediary (*please specify*):

Is the FI a subsidiary of a financial corporate group?

Yes Name: _____

Jurisdiction: _____

No

We declare that:

We are a financial institution located in and subject to the AML/CFT laws and regulations in an EEA jurisdiction or in a third country that has AML/CFT requirements that are not less robust than those required by the Directive (EU) 2015/849 and are effectively supervised for compliance with these requirements.

We confirm to provide copy evidence of supervision;

We confirm to provide an original Wolfsberg questionnaire or equivalent AML questionnaire;

We have procedures to establish and verify the identity of each Underlying Investor and checks its name against the applicable prohibited lists (i.e. at least the relevant PEP-lists as well as the sanctions lists issued by the United Nations and the European Union, Her Majesty's Treasury and the Foreign and Commonwealth Office of the United Kingdom (HMT), United States Treasury Department's Office of Foreign Assets Control (OFAC), Hong Kong Monetary Authority (HKMA), Monetary Authority of Singapore (MAS) and Swiss State Secretariat for Economic Affairs or the Directorate of International Law of Switzerland (SECO);

We conduct AML/CFT due diligence investigations of each Underlying Investor when appropriate to identify and verify the identity of the Beneficial Owner(s) and relevant related parties of each Underlying Investor;

We provide further information and documentation on the Underlying Investors immediately upon request to the Fund, the Administrator and/or the Management Company for the purposes of compliance with their AML/CFT obligations pursuant to the AML/CFT Rules and in particular in case:

- i. a higher risk scenario has been identified by the Fund, the Administrator and/or the Management Company;
- ii. there is a natural person directly or indirectly ultimately holding more than 25% of the shares or interests (or such natural person is directly or indirectly ultimately controlling more than 25% of such voting rights or ownership interests or having control via other means) that we, as a financial intermediary, are subscribing in the Fund on behalf of the Underlying Investor(s), and thus such Underlying Investor may qualify as a Beneficial Owner (as defined above) of the Fund;
- iii. the Fund, the Administrator and/or the Management Company are required to comply with any request of the AML/CFT competent authorities.

Furthermore, we acknowledge and agree that the Fund, the Administrator and/or the Management Company is entitled to perform measures, including such as sample-testing on the due diligence measures applied/documents requested by the FI on the Underlying Investors, in the context of, however without being limited to, its ongoing monitoring of the business relationship with the FI.

We monitor transactions including the screening of the Underlying Investors against sanctions lists issued by the United Nations, the European Union, Her Majesty's Treasury and the Foreign and Commonwealth Office of the United Kingdom (HMT), United States Treasury Department's Office of Foreign Assets Control (OFAC), Hong Kong Monetary Authority (HKMA), Monetary Authority of Singapore (MAS) and Swiss State Secretariat for Economic Affairs or the Directorate of International Law of Switzerland (SECO), and any other relevant list it is required to screen against. Any relevant hit will be reported with undue delay to the Fund, the Administrator and/or the Management Company;

We maintain records of AML/CFT information about, and documents obtained from, each Underlying Investor for at least 5 years after the end of the relationship or occurrence of a transaction.

Is the Company owned or controlled by a government / government entity?

Yes (specify): _____

No

Background of the subscription (source of funds for investing)

Acting on own behalf (proprietary trading)

Acting on behalf of others:

Order of a client Discretionary mandates Investment fund management

Investment fund custody Other: _____

Type of Underlying Investor/s (the IF is acting on behalf of)

Individual Corporate Investment fund

Pension fund Holding company / Trust Government agency

Other: _____

Geographical location of Underlying Investor/s (the IF is acting on behalf of) _____

Important Declaration: In acting as a financial intermediary in relation to the investment in the Fund, we, the above named FI, confirm to have obtained and recorded evidence of the identity of the Underlying Investors and any natural person directly or indirectly ultimately holding more than 25% of the shares or interests (or such natural person is directly or indirectly ultimately controlling more than 25% of such voting rights or ownership interests or having control via other mean) that we, as a financial intermediary, are subscribing in the Fund on behalf of the Underlying Investor(s), and thus may qualify as a Beneficial Owner

(as defined above) of the Fund in accordance with our AML/CFT policies and procedures that fulfil the relevant AML/CFT requirements as set out in the relevant declarations we have made in this profile form above. We agree, that in case there is a natural person directly or indirectly ultimately holding more than 25% of the shares or interests (or such natural person is directly or indirectly ultimately controlling more than 25% of such voting rights or ownership interests or having control via other means) that we, as a financial intermediary, are subscribing in the Fund on behalf of the Underlying Investor(s), and thus such Underlying Investor may qualify as a Beneficial Owner (as defined above) of the Fund, we will provide along with this Application Form the completed "BENEFICIAL OWNER FORM - Underlying Investors of FIs" of this Appendix 1. In addition, we agree upon request by the Fund, the Administrator and/or the Management Company to provide immediately to these parties, amongst others, additional information on the type and geographical location of the Underlying Investors as well as a copy of all identification documentation (as well as the case may be, those documents required to determine the purpose and intended nature of the business relationship including the source of funds) of those Underlying Investors as well as of any natural person, that as described above, may qualify as Beneficial Owner of the Fund and additional information related thereto and to take such other reasonable actions upon request as may be advisable in the reasonable judgment of the Fund, the Administrator and/or the Management Company, in particular, for their purposes of complying with any requests of the AML/CFT competent authorities or in case a higher risk scenario has been identified by these parties as well as any further of their AML/CFT obligations pursuant to the AML/CFT Rules. We acknowledge that this declaration is being relied upon by the Fund, the Administrator and/or the Management Company with regard to the measures to be applied in accordance with the AML/CFT Rules, and that this declaration shall apply to all our future investments in the Fund as well as to all investments made in our name, to all funds administrated by the Administrator.

The undersigned declares that the relevant required documents as set out above and also under the section "Identification Documents" are provided along with this Application Form and that the information set out above and in the attached form/s are true and undertakes to inform the Fund, the Administrator and/or the Management Company, of his own accord, immediately about any changes.

Signed by:

Place and date

Signatures
Print names / titles

REPRESENTATIVE/PROXY PROFILE FORM – NATURAL PERSONS AND ALL ENTITIES

Important note: This form must be completed for a Proxy or other authorized representative of the Investor signing this Application Form on behalf of the Investor (applying for all investors being natural persons as well as corporate and legal entities including also Financial Institutions and Listed Entities).

Name _____

Surname(s), first name(s) / Legal entity name

Place and date of birth _____

Nationality(ies) _____

Full postal address of the person's main residence

If applicable, the official national identification number

Relationship to the Investor

I/We declare that:

I am /We are duly authorised to act on behalf of the Applicant due to the provisions governing the power to bind the legal person or arrangement and, to the extent applicable, provide proof thereof (by e.g., an extract of the relevant provisions under the articles of incorporation of the entity (which cover the basis upon which the entity may be contractually bound towards third parties) or the specific proxy).

I am /We are duly authorised to enter into a relationship with the Fund and, to the extent applicable, provide proof of thereof (by e.g., that the legal entity subscribing the shares may enter into a relationship with the Fund meaning the relevant corporate documents like e.g., a board decision authorising the entity to enter into such relationship etc.).

Did you qualify as a PEP (as defined above)? Yes: _____
Position / Location

No

In addition, if the Representative is a legal entity:

Company registration number _____

Legal form Public limited company Private limited company
 Partnership Limited Partnership
 Unlimited Company Association
 Trust Other: _____

Purpose of the Company _____

Number of employees _____

Is the Company owned or controlled by a government / government entity? Yes: _____
 No

The undersigned declares that the relevant required documents as set out above and also under the section "Identification Documents" are provided along with this Application Form and that the information set out above are true and undertakes to inform the Fund, the Administrator and/or the Management Company, of his own accord, immediately about any changes.

Signed by:

Place and date

Signature

BENEFICIAL OWNER FORM - Underlying Investors of FIs

Important notes

This form must be completed in case a natural person is directly or indirectly ultimately holding more than 25% of the shares or interests (or such natural person is directly or indirectly ultimately controlling more than 25% of such voting rights or ownership interests or having control via other means) that we, as an investor, are subscribing in the Fund on behalf of Underlying Investors and thus such natural person may qualify as a Beneficial Owner (as defined above) of the Fund.

If more than one Beneficial Owner exists, further copies of this form must be completed as required. In case of indirect ownership, please describe on a dated and signed company headed paper all the intermediate levels of ownership with names and percentages.

In relation to the investment in shares of the Fund, applied by and in the name of:

Name of the Applicant

Legal entity name

the undersigned, being an authorized representative of the investor, hereby declares that:

the investor is a Listed Entity or a wholly owned subsidiary of such Listed Entity

In case of a subsidiary, name of the Listed Entity: _____

the investor is a legal entity not falling under the above category and that the Beneficial Owner of the assets concerned is:

Title

Mr

Mrs

Other: _____

Name of the beneficial owner

Surname(s)

First name(s)

Nationality

Date and place of birth

Day / Month / Year

City

Country

Full postal address
of the person's main residence

Street number and name

Postal code

City

Country

Identification document

Identity Card

Passport

Number: _____

Country of issue

Tax residence and TIN

Country of residence for tax purposes

Tax identification number (TIN)

Does or did the Beneficial Owner qualify as a PEP pursuant to the 2004 Law (as defined above)?

Yes (specify): _____

Position / Location

No The undersigned declares that the relevant required documents as set out above and also under the section "Identification Documents" are provided along with this Application Form and that the information set out above are true and undertakes to inform the Fund, the Administrator and/or the Management Company, of his own accord, immediately about any changes.

Signed by:

Place and date

Signature

**Declaration of Beneficial Owner Status as “Non-U.S. Person” or “U.S. Person”
To be completed by Beneficial Owners of certain Legal Entities**

Assets and Income subject to United States Tax

Investor⁹

Name

Address of domicile/registered address (Street/no, Zip code, Town)

Country

(hereafter referred to as the “Client”)

Beneficial Owner¹⁰

Name

First Name

Street

No.

Zip code

Town

Country

Date of birth (dd.mm.yyyy)

Citizenship(s)¹¹

Tax residency

Tax Identifying No. (TIN)

(hereafter referred to as “Beneficial Owner”)

The Client herewith declares that he/she/it holds the above-referenced relationship for the benefit or account of the Beneficial Owner.

Due to the United States tax regulations, and to enable Credit Suisse Fund Services (Luxembourg) S.A. and the investment funds under its administration in terms of fund register and transfer agency (both hereinafter referred to as the “Funds”) to correctly determine the status and qualification of the Beneficial Owner for purposes of U.S. tax, including but not limited to U.S. withholding tax, as a

“Non-U.S. Person” or “U.S. Person”,

the undersigned Beneficial Owner and Client, respectively, hereby make and confirm the following Declarations to the Funds:

PART ONE: To be completed by the Beneficial Owner, as to his/her own status:

1. Status Declaration for Individuals (please tick the appropriate box for each question)

a) Are you currently (or do you plan to be) present in the United States or a U.S. territory for six months or more per year or six months or more consecutively?

Yes

No

⁹ The Investor is the person in whose name the fund shares are held in the fund register maintained at CSFSL.

¹⁰ Please sign a separate form for each beneficial Owner (if more than one).

¹¹ List all citizenships, if more than one.

b) Were you born in the United States or a U.S. territory? Yes No

If you were born in the United States or a U.S. territory but nonetheless believe that you are not a "U.S. Person" under U.S. tax principles, please state the reason and submit written documentation evidencing the relinquishment of your U.S. citizen status:

c) Are you a U.S. citizen? (sole and dual citizenship) Yes No

If so, please provide a copy of your current U.S. passport, if available.

d) Are you the holder of an active U.S. Green Card? Yes No

Once activated, a U.S. Green Card remains active for U.S. tax purposes (even if the holder resides outside the U.S. and even if the Green Card itself has expired) until either: (1) it is voluntarily returned to the U.S. immigration authorities; or (2) it is administratively or judicially revoked by U.S. immigration authorities or a U.S. federal court.

e) Are you considered a U.S. resident for tax purposes due to the substantial presence test?
 Yes No

You qualify as a U.S. resident for tax purposes if you meet the so-called "substantial presence test." To meet this test, you must have been physically present in the United States on at least 31 days during the current year, and 183 days during the 3 year period that includes the current year and the 2 years immediately before. For details as to how to count days for these purposes, you should consult the IRS website: <http://www.irs.gov/taxtopics/tc851.html>

f) Are you considered a U.S. resident for tax purposes due to filing a joint U.S. tax return with your U.S. citizen or U.S. resident alien spouse?
 Yes No

2. US TIN (if applicable)

If you answered "Yes" to any question contained in section 1(c) through 1(f):

Please provide your U.S. Individual Taxpayer Identification Number ("TIN"), which is generally your social security number ("SSN"), in the space below. Please note, a separate information disclosure waiver must also be completed.

TIN: _ _ - _ - _ - _ - _ -

3. General Certifications

- 6. The Declarant declares that the information contained on this form, as well as the definitions referred to herein, have been reviewed and understood, and certifies that the information provided on this form is true, correct, and complete.
- 7. **The Declarant acknowledges and agrees that CSFSL can use the signed form to document the Declarant's status for all current or future relationships that the Declarant is or will be involved in, in any capacity, with any Credit Suisse Group Entity in Switzerland.**
- 8. For the duration of the contractual relationship with CSFSL, **the undersigned Declarant undertakes to notify CSFSL, at his/her own initiative and within 30 days**, if a change of circumstances occurs to his/her status which causes the information on this form to become incorrect.

If you have provided a US TIN above, by signing this form, you hereby certify under penalties of perjury that (1) the TIN shown on this form is my correct TIN; (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. citizen or U.S. resident alien.

If the IRS has notified you that backup withholding applies to you, you must strike out item (2) in this certification. (The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.)

Declarant Confirmation

Place, date

Declarant signature

**CREDIT SUISSE FUND SERVICES (LUXEMBOURG) S.A.
CREDIT SUISSE TA SUBSCRIPTION COLLECTION
ACCOUNT SETTLEMENT INSTRUCTIONS**

Subscription proceeds are to be made available to the Administrator by cash transfer. The full subscription price of the units must be received in immediately available funds in line with the cut-off time for payment of subscription monies in the relevant currencies.

Please instruct payments by **SWIFT MT103** only for the respective currencies as follows:

AUD	CORRESPONDENT BANK SWIFT:	ANZBAU3MXXX	54
	CORRESPONDENT BANK:	ANZ Banking Group Limited, Melbourne	54
	CORRESPONDENT BANK SWIFT:	CRESCHZZ80A	56
	CORRESPONDENT BANK:	CREDIT SUISSE AG, ZURICH	56
	BENEFICIARY BANK SWIFT:	CRESLULLXXX	57
	BENEFICIARY BANK :	CREDIT SUISSE (LUXEMBOURG) S.A.	57
	BENEFICIARY IBAN:	LU89 078A 1542 1507 2003	59
	<i>OR BENEFICIARY ACCOUNT :</i>	<i>0183-1542150-72-003</i>	59
	BENEFICIARY ACCOUNT NAME:	Credit Suisse TA Transitory Account Subscription 5, rue Jean Monnet LU-2180 Luxembourg	59

CZK	CORRESPONDENT BANK SWIFT:	RZBCCZPP	54
	CORRESPONDENT BANK:	Raiffeisenbank AS, Prague	54
	CORRESPONDENT BANK SWIFT:	CRESCHZZ80A	56
	CORRESPONDENT BANK:	CREDIT SUISSE AG, ZURICH	56
	BENEFICIARY BANK SWIFT:	CRESLULLXXX	57
	BENEFICIARY BANK :	CREDIT SUISSE (LUXEMBOURG) S.A.	57
	BENEFICIARY IBAN:	LU51 078A 1542 1507 2008	59
	<i>OR BENEFICIARY ACCOUNT :</i>	<i>0183-1542150-72-008</i>	59
	BENEFICIARY ACCOUNT NAME:	Credit Suisse TA Transitory Account Subscription 5, rue Jean Monnet LU-2180 Luxembourg	59

CCY			SWIFT FIELD:
EUR	BENEFICIARY BANK SWIFT:	CRESLULLXXX	Target2
	BENEFICIARY BANK :	CREDIT SUISSE (LUXEMBOURG) S.A.	57
	BENEFICIARY IBAN:	LU73 078A 1542 1507 2000	59
	<i>OR BENEFICIARY ACCOUNT:</i>	<i>0183-1542150-72-000</i>	59
	BENEFICIARY ACCOUNT NAME:	Credit Suisse TA Transitory Account Subscription 5, rue Jean Monnet LU-2180 Luxembourg	59

USD	CORRESPONDENT BANK SWIFT:	PNBPUS3NNYC	56
	CORRESPONDENT BANK:	Wells Fargo Bank NA	56
	CHIPS UID	509	
	FED ABA	26005092	
	BENEFICIARY BANK SWIFT:	CRESLULLXXX	57
	BENEFICIARY BANK :	CREDIT SUISSE (LUXEMBOURG) S.A.	57
	BENEFICIARY IBAN:	LU46 078A 1542 1507 2001	59
	<i>OR BENEFICIARY ACCOUNT :</i>	<i>0183-1542150-72-001</i>	59
	BENEFICIARY ACCOUNT NAME:	Credit Suisse TA Transitory Account Subscription 5, rue Jean Monnet LU-2180 Luxembourg	59

CHF	CORRESPONDENT BANK SWIFT:	CRESCHZZ80A	56
	CORRESPONDENT BANK:	CREDIT SUISSE AG, ZURICH	56
	BENEFICIARY BANK SWIFT:	CRESLULLXXX	57
	BENEFICIARY BANK :	CREDIT SUISSE (LUXEMBOURG) S.A.	57
	BENEFICIARY IBAN:	LU10 078A 1542 1507 1000	59
	OR BENEFICIARY ACCOUNT :	<i>0183-1542150-71-000</i>	59
	BENEFICIARY ACCOUNT NAME:	Credit Suisse TA Transitory Account Subscription 5, rue Jean Monnet LU-2180 Luxembourg	59

GBP	CORRESPONDENT BANK SWIFT:	UBSWG55XXX	54
	<i>OR CORR. BANK SORT CODE :</i>	23-23-20	54
	CORRESPONDENT BANK:	UBS AG London Branch	54
	CORRESPONDENT BANK SWIFT:	CRESchZZ80A	56
	CORRESPONDENT BANK:	CREDIT SUISSE AG, ZURICH	56
	BENEFICIARY BANK SWIFT:	CRESLULLXXX	57
	BENEFICIARY BANK :	CREDIT SUISSE (LUXEMBOURG) S.A.	57
	BENEFICIARY IBAN:	LU19 078A 1542 1507 2002	59
	<i>OR BENEFICIARY ACCOUNT :</i>	0183-1542150-72-002	59
	BENEFICIARY ACCOUNT NAME:	Credit Suisse TA Transitory Account Subscription 5, rue Jean Monnet LU-2180 Luxembourg	59

SGD	CORRESPONDENT BANK SWIFT:	SCBLSGSGXXX	54
	CORRESPONDENT BANK:	Standard Chartered Bank, Singapore	54
	CORRESPONDENT BANK SWIFT:	CRESchZZ80A	56
	CORRESPONDENT BANK:	CREDIT SUISSE AG, ZURICH	56
	BENEFICIARY BANK SWIFT:	CRESLULLXXX	57
	BENEFICIARY BANK :	CREDIT SUISSE (LUXEMBOURG) S.A.	57
	BENEFICIARY IBAN:	LU13 078A 1542 1507 2013	59
	<i>OR BENEFICIARY ACCOUNT :</i>	0183-1542150-72-013	59
	BENEFICIARY ACCOUNT NAME:	Credit Suisse TA Transitory Account Subscription 5, rue Jean Monnet LU-2180 Luxembourg	59

JPY	CORRESPONDENT BANK SWIFT:	BOTKJPJTXXX	54
	CORRESPONDENT BANK:	BANK OF TOKYO-MITSUBISHI UFJ Ltd, Tokyo	54
	CORRESPONDENT BANK SWIFT:	CRESchZZ80A	56
	CORRESPONDENT BANK:	CREDIT SUISSE AG, ZURICH	56
	BENEFICIARY BANK SWIFT:	CRESLULLXXX	57
	BENEFICIARY BANK :	CREDIT SUISSE (LUXEMBOURG) S.A.	57
	BENEFICIARY IBAN:	LU24 078A 1542 1507 2009	59
	<i>OR BENEFICIARY ACCOUNT :</i>	0183-1542150-72-009	59
	BENEFICIARY ACCOUNT NAME:	Credit Suisse TA Transitory Account Subscription 5, rue Jean Monnet LU-2180 Luxembourg	59
	ALL CCY	REFERENCE / REMITTANCE INFORMATION :	“ISIN CODE or FUND NAME” – “ORDER NUMBER or ACCOUNT NUMBER” X

* – Amend accordingly in the payment details.

Appendix 2

Data Protection Notice

MultiConcept Fund Management S.A.

5, rue Jean Monnet,
L-2180 Luxembourg

(the "**Company**")

By subscribing in funds domiciled at 5, rue Jean Monnet, L-2180 Luxembourg (the "Fund" or the "Funds") and managed by MultiConcept Fund Management S.A. (the "**Management Company**"), you are providing Personal Data (as defined below) to the Funds and to its Management Company and their respective service providers. This notice is to make you aware of how the Funds and the Management Company (hereinafter "we" and "us") hold, use and process your personal data, as well as the steps taken to ensure our data processing is in compliance with the General Data Protection Regulation (EU/2016/679) ("**GDPR**") and any implementing legislation ("**Data Protection Legislation**").

Unless otherwise stated in this data protection notice ("**Notice**"), capitalized terms have the meaning given to these terms in the Account Opening and Application Form. For the purpose of this Notice, the terms "data controller", "data processor", "personal data", "data subject" and any other term expressly defined in article 4 of the GDPR have the meaning given to these terms in article 4 of the GDPR.

Scope

This Notice applies to investors in the Fund. This Notice applies also to you (as financial intermediary on behalf of one or more investors) as investor in the Fund and to any natural persons (including, but not limited to, directors, representatives, agents or employees) whose information you provide to us in connection with our relationship with you. It is your responsibility to ensure that any of these natural persons whose Personal Data you provide are aware of their rights in this regard and are provided with a copy of this Notice.

Any references to 'you' and 'your' should be construed to include such third parties. This Notice may be updated from time to time and you will be notified in writing in case of any changes.

Identity of the controllers

The Fund and the Management Company are the data controllers for the Personal Data collected and processed in the context of your investment in the Fund. In this capacity, they are responsible of your Personal Data.

Your Personal Data will be processed by the Fund, in a capacity as data controller for a certain number of purposes such as collecting money for investment purposes and placing the shares/units of the Fund, in accordance with the Luxembourg laws and regulations, and the terms of the articles and the prospectus of the Fund.

Your Personal Data will also be processed by the Management Company, acting in a capacity as data controller, in its own right, in the context of the Fund management, in order to perform the functions assigned to it by law. Those functions include in particular the administration, the registrar and transfer agency function, the investment management, compliance, risk management and distribution functions, in accordance with the Luxembourg laws and regulations and the terms of the articles and the prospectus of the Fund.

The purposes for which the Fund and the Management Company process your Personal Data are further described below.

In providing the services to the Fund or the Management Company, third party service providers such as the Administrator and the Depositary may also act in a capacity as independent data controllers when processing your Personal Data for the purposes of complying with their own legal and regulatory obligations or for their own legitimate interest (in particular in the context of AML and KYC related processes). Information about processing activities of the Administrator and the Depositary of the Fund are available on their respective website.

What Personal Data do we collect?

We will hold some or all of the following types of your personal data: investor name, e-mail, phone number, address and domicile details, date of birth, career profession, occupation, job function, family details, copies of proof of identity documents which may include a photograph, nationality/-ies, signature, tax identification numbers, tax residence, status under dividend and interests, authentication information (passwords, user ID), financial details, in particular account numbers, data on transactions, trading and settlement, PEP status, relationship identifiers, investment objective, functions and powers of representatives where applicable, and any other information required to perform requested services related to your investment in the Fund ("**Personal Data**").

Where we obtain Personal Data from?

We will collect information about you directly and also from a number of external sources.

- (i) Information that we receive from you:

We process Personal Data that you provide to us directly, and that we obtain from our dealings with you during the course of our relationship:

- from the forms and any associated documentation that you complete when subscribing for an investment,
- when you provide it to us in correspondence and conversations, and
- when you make transactions with respect to the Fund, when you purchase securities from us and/or tell us where to transfer money

(ii) Information that we obtain from others, and who those others are:

We also collect Personal Data from:

- publicly available and accessible registries and sources;
- bankruptcy registers;
- tax authorities, including those that are based in and /outside the EEA
- governmental and competent regulatory authorities to whom we have regulatory reporting obligations;
- credit agencies;
- fraud prevention and detection agencies and organizations; and
- Credit Suisse internal lists for prevention and detection of financial crime activities.

Purposes of Processing

Your Personal Data may be processed by us for the following purposes:

- (i) where necessary to perform the contract (and/or pre-contractual steps) with you:
- to facilitate the opening of your account (including investor and related party identification processes) and administration of your holdings in the Fund and any related account on an on-going basis, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions and capital call as well as the production and dissemination of transaction and cash flow reports, investors register reports to the Fund and its delegates;
 - to perform any communication to the investors in relation to their investments in the Fund;
 - to provide you with the relevant financial information and reports in relation to the Fund and your investment in the Fund (e.g. annual audited financial statements of the Fund, quarterly reports, annual appraised value of the assets, factsheets, etc);
 - to perform accounting services for the Fund in particular in relation to the calculation of dividend distributions, redemption proceeds, amounts due by the investors to the Fund;
 - to handle and follow-up your complaints as investors of the Fund;
 - in general, to meet the resulting contractual obligations we have to you;
- (ii) where necessary for compliance with an EU or EU Member state legal or regulatory obligation to which we are subject. We are required by law or regulation to collect this information from you:
- in order to carry out anti-money laundering checks and related actions which we consider appropriate to meet any legal obligations imposed on us or to pursue our legitimate interests in relation to, the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with the Fund, the Management Company and their delegates' anti-money laundering procedures;
 - to report tax related information to tax authorities and to the Fund or its representatives or delegates/ agents (e.g., to comply with the CRS and FATCA legislations, and any applicable tax requirements) (see also below);
 - to comply with our legal obligations under the Luxembourg law of 10 August 1915 (as amended) on commercial companies and other legislations applicable to commercial companies generally to the extent as applicable;
 - to maintain the register of shareholders of the Fund;
 - to comply with accounting legal obligations;
 - to provide relevant information and reporting to the CSSF where we are under a legal obligation to do so;
 - to comply with an order of the court.
- (iii) for our legitimate interests or those of a third party to which we are transferring Personal Data provided we have considered that our legitimate interests (or those of that third party) are not overridden by your interests, fundamental rights or freedoms.

Our legitimate interests are:

- to disclose information to other data recipients such as service providers of the Fund and of the Management Company and their affiliates, auditors, regulatory authorities and technology providers;
- to monitor and record calls and electronic communications for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend the rights of the Fund, the Management Company and their delegates in order to comply with any legal obligation imposed on them, (v) quality, business analysis, training and related purposes in order to pursue the legitimate interests of the Fund, the Management Company and their delegates to improve their services in relation to such matters or (vi) where the processing is in the public interest;
- to comply with obligations or internal policy requirements of the Fund and the Management Company (e.g., in relation to financial crime prevention);

- to send you commercial communications in the context of existing products or services or for further commercial marketing of similar products or services (marketing);
- to improve the efficiency of the investor identification to streamline KYC/ AML processes and enhance the initial and on-going review of the investors' documentation for AML/ KYC purposes;
- to improve the efficiency of the order processing and reduce risks caused by manual intervention in the processing of the subscription and redemption orders;
- to monitor and improve our relationships with you;
- to protect our business against fraud, breach of confidence, theft of proprietary materials, and other financial or business crimes;
- to comply with obligations or internal policy requirements of the Fund;
- to protect the security and integrity of our IT systems;
- to assert legal claims and for defense in legal disputes;
- mergers, acquisitions and re-organizations including by providing information to future purchasers or transferees.

Given the specific purposes for which the Fund and the Management Company envisage processing your Personal Data, the Fund and the Management Company do not anticipate obtaining your consent to do so. If we were to rely on consent to process your Personal Data, we will contact you to obtain this consent. In case consent is relied upon to legitimate a data processing, you will have the right to withdraw this consent at any time.

Please also refer to the specific section below for processing activities and related Personal Data disclosures in the context of the Funds' legal obligations under the FATCA and the CRS regimes:

FATCA related processing activities and disclosures

In accordance with the Data Protection Legislation, the Fund, as the Data Controller shall comply with its legal obligations under the FATCA Law (as defined in the Subscription Form).

The Fund's ability to satisfy its legal obligations under the FATCA Law will depend on each investor providing the Fund with the FATCA Personal Data (as defined below), including the investor's name, date and place of birth, address, account number (or functional equivalent), account balance or value and U.S. tax identification number, the total gross amount of interest, the total gross amount of dividends, the total gross amount of other income generated with respect to the assets held in the account, the total gross proceeds from the sale or redemption of property paid or credited to the account, the total gross amount of interest paid or credited to the account, the total gross amount paid or credited to the investor with respect to the account, standing instructions to transfer funds to an account maintained in the U.S., and any other relevant information in relation to the investors or their Controlling Persons (as defined in the Subscription Form) for the purposes of the FATCA Law, along with the required supporting documentary evidence (the "FATCA Personal Data"). In this context, the investors are hereby informed that, as the Data Controller, the Fund will process the FATCA Personal Data for the purposes as set out in the FATCA Law. The investors shall inform their Controlling Persons, if applicable, of the processing of their FATCA Personal Data by the Fund.

The FATCA Personal Data will be reported by the Management Company or the Administrator, as applicable, to the Luxembourg Tax Authority. The Luxembourg Tax Authority, under its own responsibility, will in turn pass on the FATCA Personal Data to the US Internal Revenue Service in application of the FATCA Law.

The investors are further informed that the FATCA Personal Data related to Reportable Persons within the meaning of the FATCA Law will be disclosed to the Luxembourg Tax Authority annually for the purposes set out in the FATCA Law. In particular, Reportable Persons are informed that certain operations performed by them will be reported to them through the issuance of statements, and that part of this information will serve as a basis for the annual disclosure to the Luxembourg Tax Authority. FATCA Personal Data may also be processed by the Fund's data processors which, in the context of FATCA processing, refer to the Management Company and the Administrator.

CRS related processing activities and disclosures

The Fund may be subject to the Standard for Automatic Exchange of Financial Account Information in Tax matters (the "Standard") and its Common Reporting Standard (the "CRS") as set out in the law implementing the CRS in Luxembourg (the "CRS Law", as defined in the Subscription Form).

The Fund's ability to satisfy its reporting obligations under the CRS Law will depend on each investor providing the Fund with the CRS Personal Data (as defined below), including information regarding direct or indirect owners of the investor, along with the required supporting documentary evidence. In this context, the investors are hereby informed that, as the Data Controller, the Fund will process the CRS Personal Data for the purposes as set out in the CRS Law.

A Reporting Financial Institution, as of 30 June 2017 and without prejudice to other applicable data protection provisions as set out in the Fund documentation, will be required to annually report to the Luxembourg Tax Authority: the name, residence address, TIN(s), the date and place of birth, the country of tax residence(s), the phone number, the account number (or functional equivalent), standing instructions to transfer funds to an account maintained in a Reportable Jurisdiction, the account balance or value, the total gross amount of interest, the total gross amount of dividends, the total gross amount of other income generated with respect to the assets held in the account, the total gross proceeds from the sale or redemption of property paid or credited to the account, the total gross amount of interest paid or credited to the account, the total gross amount paid or credited to the investor with respect to the account, as well as any other information required by applicable laws of i) each Reportable Person that is an account holder, ii) and, in the case of a Passive NFE within the meaning of the CRS-Law, of each Controlling Person that is a Reportable Person (the "CRS Personal Data").

The investors are further informed that the CRS Personal Data related to Reportable Persons within the meaning of the CRS Law will be disclosed to the Luxembourg Tax Authority annually for the purposes set out in the CRS Law. The CRS Personal Data may be disclosed by the Luxembourg Tax Authority, under its own responsibility to foreign tax authorities. In particular, Reportable Persons are informed that certain operations performed by them will be reported to them through the issuance of statements, and that part of this information will serve as a basis for the annual disclosure to the Luxembourg Tax Authority.

CRS Personal Data may also be processed by the Fund's data processors ("Processors") which, in the context of CRS processing, refer to the Management Company and the Administrator.

Recipients of your Personal Data

In addition to the Fund and the Management Company, your Personal Data will be disclosed to, and processed by the following entities (the **"Recipients"**) for the purpose of providing the services required by you and/or for allowing these companies to comply with their own legal and regulatory obligations (including under company law and anti-money laundering legislation or foreign regulatory requirements):

- Credit Suisse Fund Services (Luxembourg) SA, which is the Administrator of the Fund;
- Credit Suisse (Luxembourg) SA as the Depositary of the Fund;
- Other Credit Suisse affiliated companies in their role as support to the portfolio management and distribution activities of the Management Company and the Fund;
- Credit Suisse AG, Credit Suisse (Schweiz) AG, Credit Suisse Services AG, Credit Suisse Funds AG, Credit Suisse Poland, as far as certain processing activities are operated on the information technology (IT) platform of those entities;
- Credit Suisse AG, Credit Suisse (Schweiz) AG, Credit Suisse Asset Management & Investor Services (Switzerland) Holding Ltd, Credit Suisse Asset Management International Holding AG as far as certain processing activities include monitoring of risks on a consolidated basis (e.g., compliance, anti-money laundering, internal supervision, reporting, governance and audit);
- Any third parties acting on the Fund's behalf (such as service providers, the administrator, the depositary bank, including their respective advisers, the auditors, delegates, agents and any other subsidiary or affiliated companies or on the basis of a prior authorization provided by investors);
- The other service providers to the Fund, including but not limited to legal and tax counsels, accountants, auditors, independent appraisers, notaries, banks, other financial institutions and payment services providers, technology service providers such as Calastone and FundsDLT, etc (including without limitation certain AML/ KYC agents (with or without mutualization capabilities) which are licensed as professional of the financial sector in Luxembourg);
- Any of the Fund's respective shareholders, representatives, employees, advisers, agents, delegates, auditors, service providers, any subsidiary or affiliate to the Fund (and their respective representatives, employees, advisers, agents, delegates); or
- In connection with any business reorganization, transfer, disposal, merger or acquisition on the level of the Fund.

These Recipients may further disclose your Personal Data to their affiliates and service providers in order to process the data for the purposes mentioned above and for internal investigations and reporting.

In specific circumstances, your Personal Data may also be disclosed to competent authorities (including tax and financial supervisory authorities or regulatory bodies), courts and bodies as required by applicable law or as requested by such authorities (e.g. for the purposes of anti-money laundering, sanctions, terrorism financing, the prevention and detection of crime as amended and for CRS and FATCA laws);

The Fund and the Management Company, as applicable, will take all reasonable steps, as required by Data Protection Legislation, to ensure the safety, privacy and integrity of your Personal Data (including, when applicable, of your FATCA Personal Data and/or CRS Personal Data) and will, as required enter into contracts with such Recipients to protect the privacy and integrity of your Personal Data supplied.

As a result of the acquisition of Credit Suisse Group by UBS Group, all entities of Credit Suisse Group have become UBS Group entities. Accordingly, the references to "Credit Suisse Group" (and similar references, e.g. "Credit Suisse entities", "affiliates", etc.) also include the UBS Group entities.

Transfers of Personal Data outside the EEA

In principle, the Fund, the Management Company and Recipients will not transfer your Personal Data to countries outside of the European Economic Area ("EEA") that do not provide adequate level of data protection.

The Management Company and/or the Administrator may transfer your Personal Data to Credit Suisse affiliates in Switzerland in which case such transfer will be based on the adequacy decision issued by the European Commission.

In case Personal Data is transferred outside the EEA to a country that does not provide adequate level of data protection, the Fund, the Management Company and the third party service providers will take all steps necessary to ensure that your Personal Data is treated securely, and that appropriate safeguard (in particular Standard Contractual Clauses) are in place to protect the privacy and integrity of such Personal Data, in accordance with the Data Protection Legislation. Please contact us if you wish to obtain more information concerning these safeguards (see 'Contact Us').

Where you do not provide your Personal Data

Investors are required to provide their Personal Data for statutory and contractual purposes. Failure to provide the required Personal Data may result in the Fund and/or the Management Company being unable to permit or process the investor's investment in the Fund, and this may result in the Fund terminating its relationship with the investor.

Security and Retention of your data

We take all reasonable steps as required by Data Protection Legislation to ensure the safety, privacy and integrity of your Personal Data. We will retain your Personal Data only for as long as is necessary to manage your investment, meet regulatory requirements and carry out the purposes set out above and/or to comply with any legal obligations (whichever is longer). Please contact us if you wish to obtain more information concerning the relevant retention periods (see 'Contact Us').

In principle we will retain your Personal Data for a period of 10 years following the termination of your relationship with the Fund or for as long as required for the Management Company to comply with applicable legal/regulatory or tax obligations. Under certain circumstances, special retention obligations may be imposed on the Management Company, the third-party service providers and their affiliates (in relation to records and other information relevant to pending or reasonably fore-seeable litigation, investigations, regulatory inquiries and ongoing proceedings).

Your Rights

You have certain rights under the GDPR:

- the right to access your Personal Data (including, where applicable, your FATCA Personal Data and/or your CRS Personal Data), including the right to ask for a copy of your such Personal Data where it does not adversely affect the rights and freedoms of others (please note that if you request any further hard copies later on, we may charge you a reasonable fee based on administrative costs);
- the right to have incomplete or inaccurate Personal Data (including, where applicable, FATCA Personal Data and/or CRS Personal Data) corrected (including by means of providing a supplementary statement);

In some limited circumstances:

- the right to object to the use of your Personal Data
In individual cases, we process your Personal Data in order to conduct direct marketing. You have the right to object to the processing of your Personal Data for the purpose of this type of marketing at any time. This also applies to profiling, insofar as it is in direct connection with such direct marketing. If you object to processing for the purpose of direct marketing, we will no longer process your Personal Data for this purpose

On grounds relating to your particular situation, you shall have the right of objection, at any time, to processing of your Personal Data that is necessary for the purposes of the legitimate interests pursued by the controller or by a third party. This also applies to profiling. If you submit an objection, we will no longer process your Personal Data unless we can give evidence of mandatory, legitimate reasons for processing, which outweigh your interests, rights, and freedoms, or processing serves the enforcement, exercise, or defense of interests. Please note, that in such cases we might not be able to provide services and/or maintain a business relationship with you

- the right to restrict the use of your Personal Data (including, where applicable, your FATCA Personal Data and/or your CRS Personal Data)
- the right to require us to erase / delete your Personal Data
Please note that if we process your Personal Data in particular to comply with a legal obligation (see above), we will not be able to respond positively to your request.
- the right to receive Personal Data which you have provided to us in a structured, commonly used and machine-readable format and the right to transmit those data to another data controller.
Please note that this right to data portability only arises where: (a) the processing is based on consent or on a contract; and (b) the processing is carried out by automated means, and (c) it does not adversely affect the rights and freedoms of others. It also only applies to the data that you have provided to us.
- the right to ask questions or lodge a complaint about our processing of your Personal Data with the relevant data protection authority.

In Luxembourg, the relevant data protection authority is the Commission Nationale pour la Protection des Données.

How to contact us

If you want more details on the processing of your Personal Data, if you have any specific queries or concerns regarding the processing of your Personal Data, if you want to exercise your rights towards us or if you would like to make a complaint, do not hesitate to contact:

Data Protection Office Representative

at
MULTICONCEPT FUND MANAGEMENT S.A.
5, rue Jean Monnet
L-2180 Luxembourg
Grand Duchy of Luxembourg
Phone: +352 43 61 61 - 1
E-mail: luxembourg.data-protection@credit-suisse.com

or

Credit Suisse Group Data Protection Officer

at
CREDIT SUISSE SERVICES AG, LONDON BRANCH
Five Canada Square
London E14 5AQ
Great Britain
Phone: +44 20 7888 8888
E-mail: data-protection@credit-suisse.com